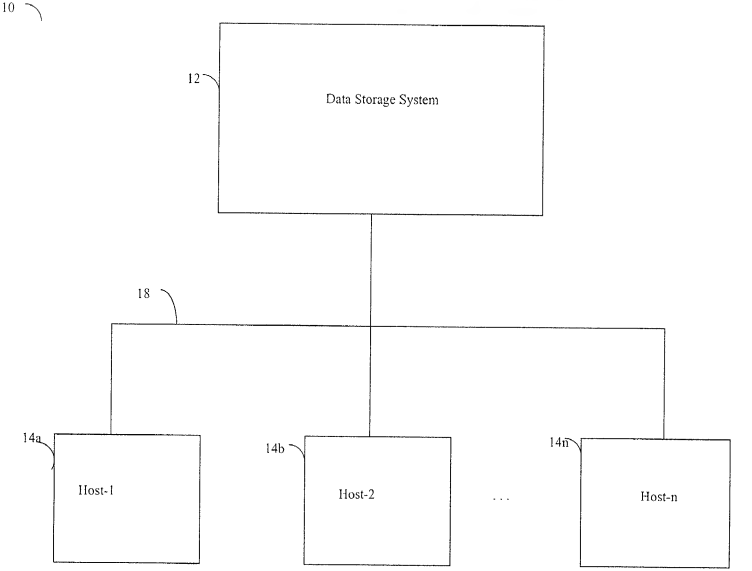


FIGURE 1

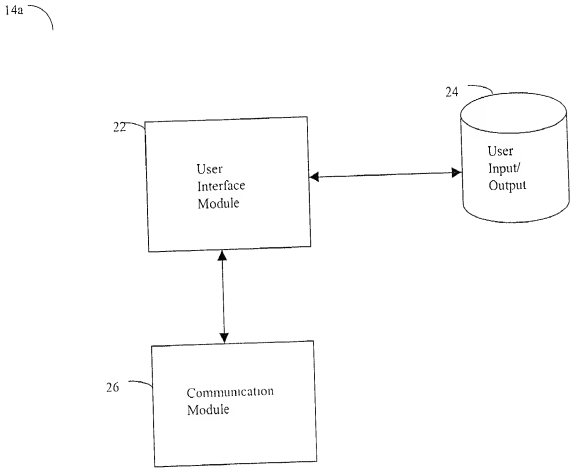

14a
22
User
Interface
Module
24
User
Input/
Output
26
Communication
Module

FIGURE 2

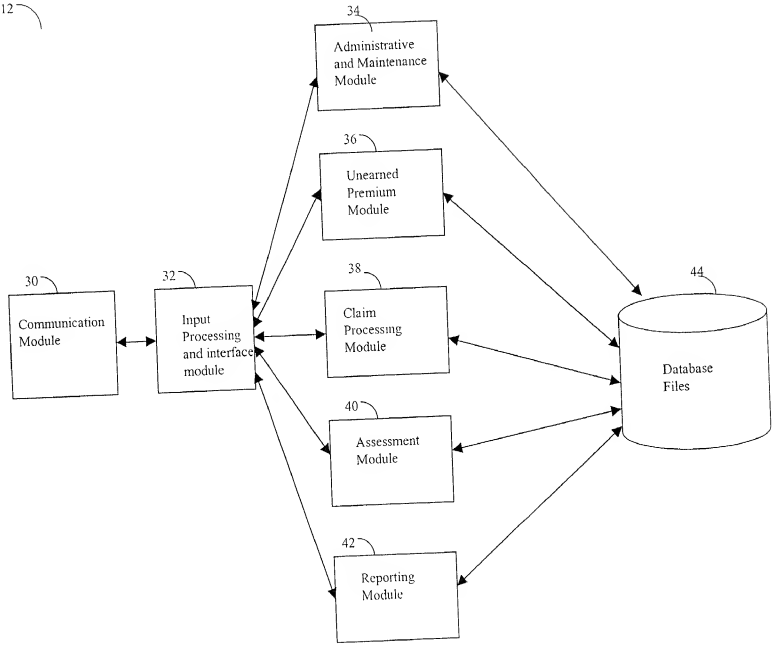

12
34
Administrative and Maintenance Module
36
Unearned Premium Module
38
Claim Processing Module
40
Assessment Module
42
Reporting Module
30
Communication Module
32
Input Processing and interface module
44
Database Files

FIGURE 3

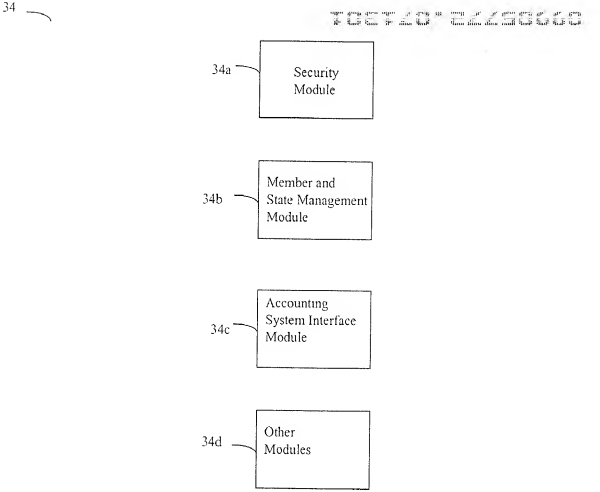

34
34a
Security Module
34b
Member and State Management Module
34c
Accounting System Interface Module
34d
Other Modules

FIGURE 4

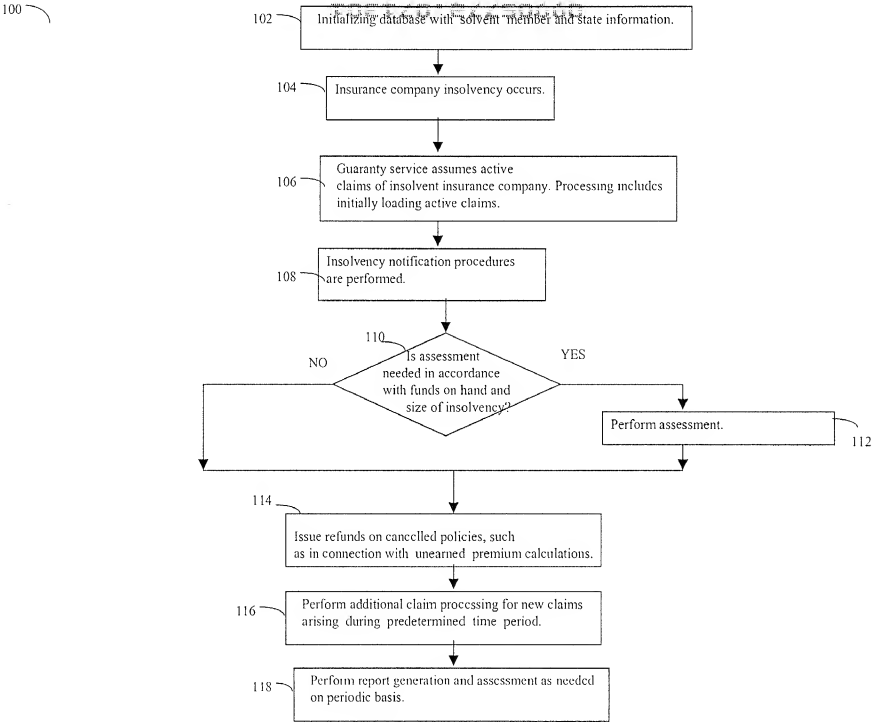

100
102
Initializing database with solvent member and state information.
104
Insurance company insolvency occurs.
106
Guaranty service assumes active claims of insolvent insurance company. Processing includes initially loading active claims.
108
Insolvency notification procedures are performed.
110
Is assessment needed in accordance with funds on hand and size of insolvency?
NO
YES
Perform assessment.
112
114
Issue refunds on cancelled policies, such as in connection with unearned premium calculations.
116
Perform additional claim processing for new claims arising during predetermined time period.
118
Perform report generation and assessment as needed on periodic basis.

FIGURE 5

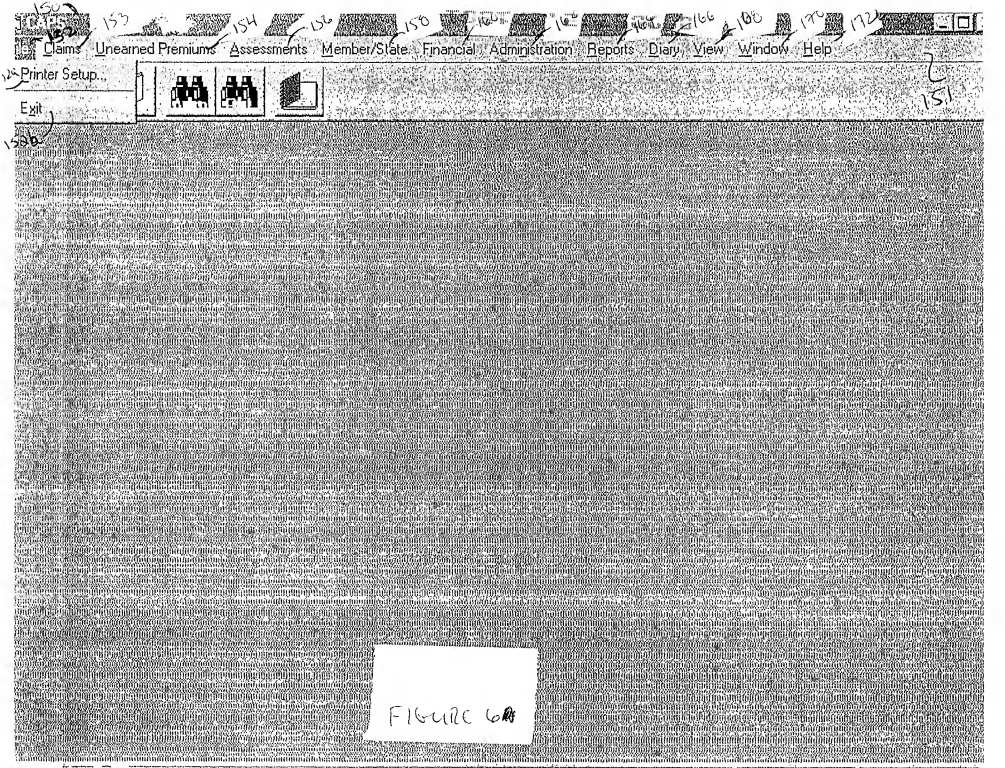
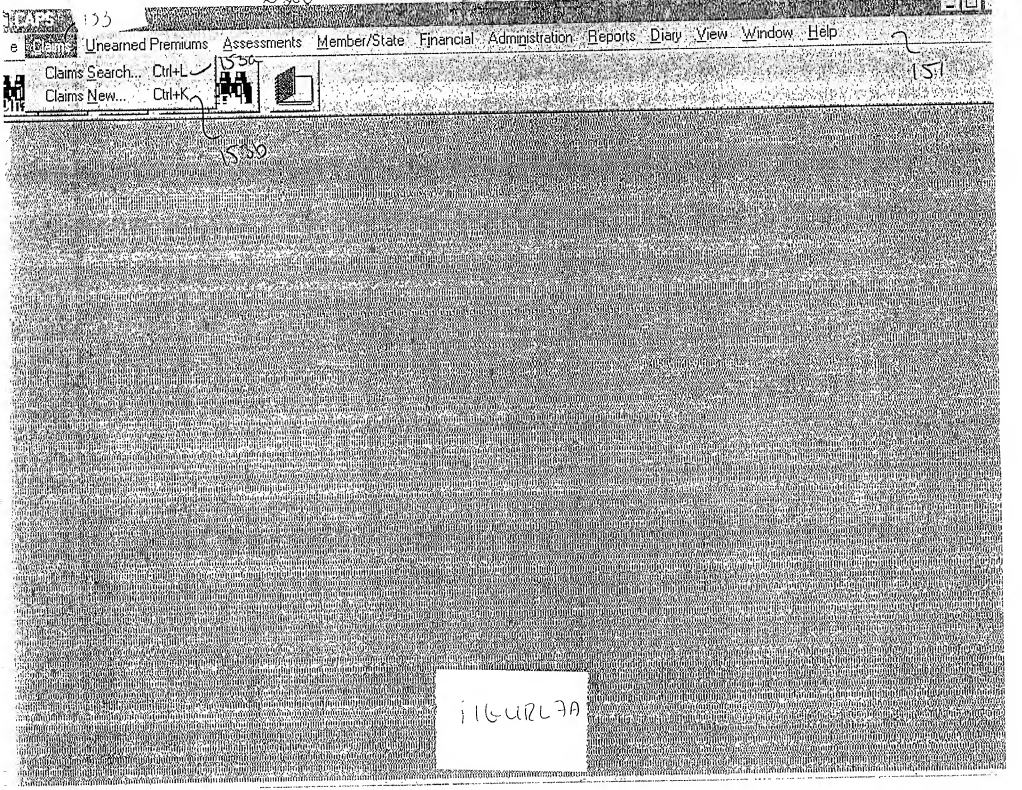
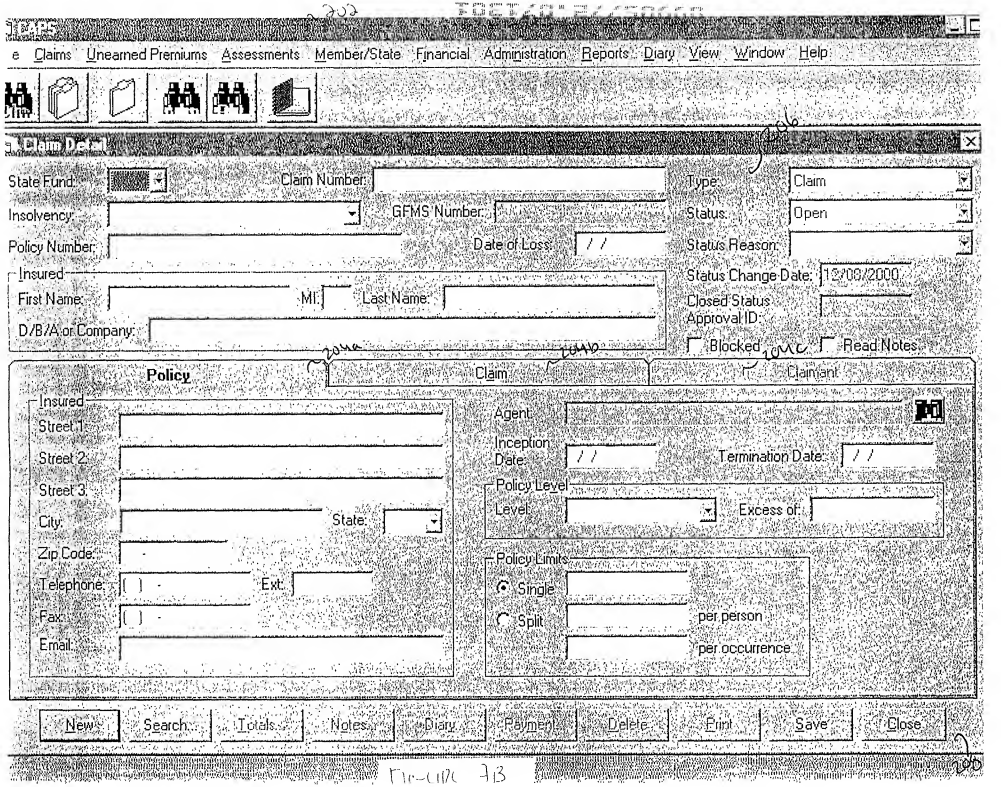
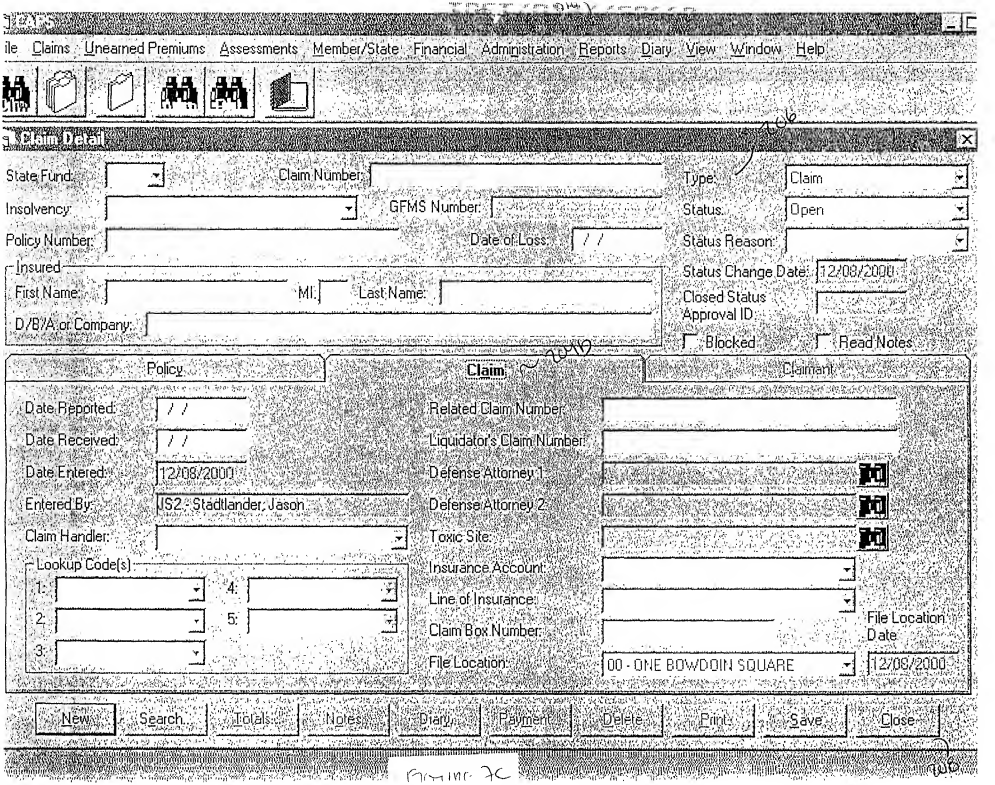
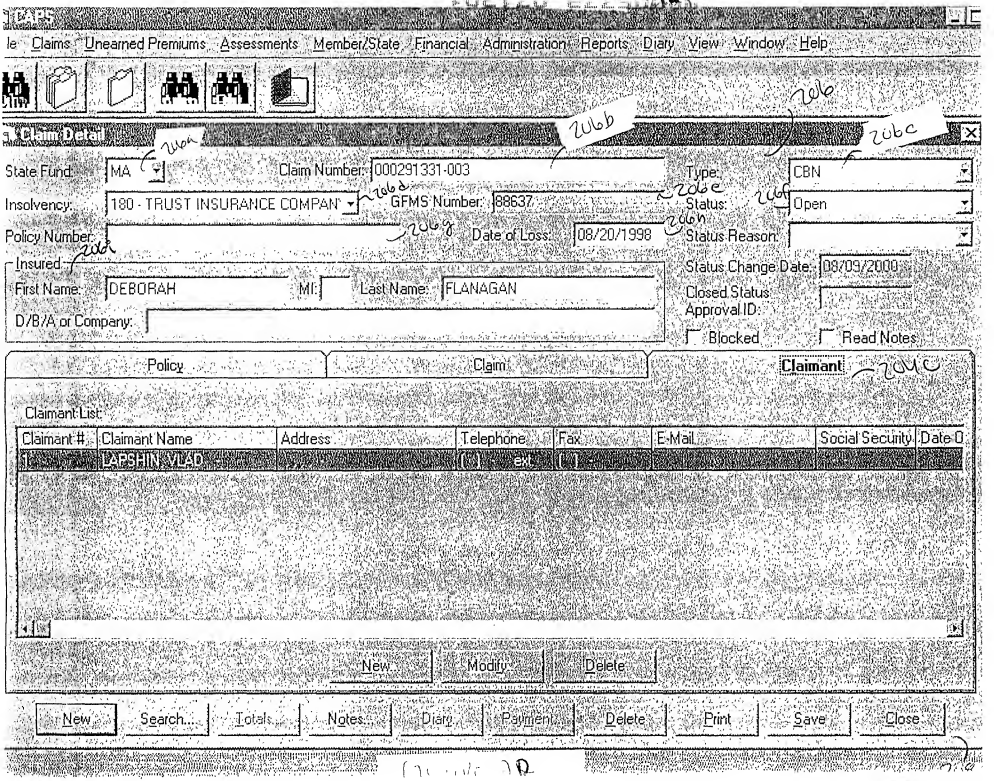

Claims
Unearned Premiums
Assessments
Member/State
Financial
Administration
Reports
Diary
View
Window
Help
Printer Setup...
Exit
12/08/2000
11:35 AM

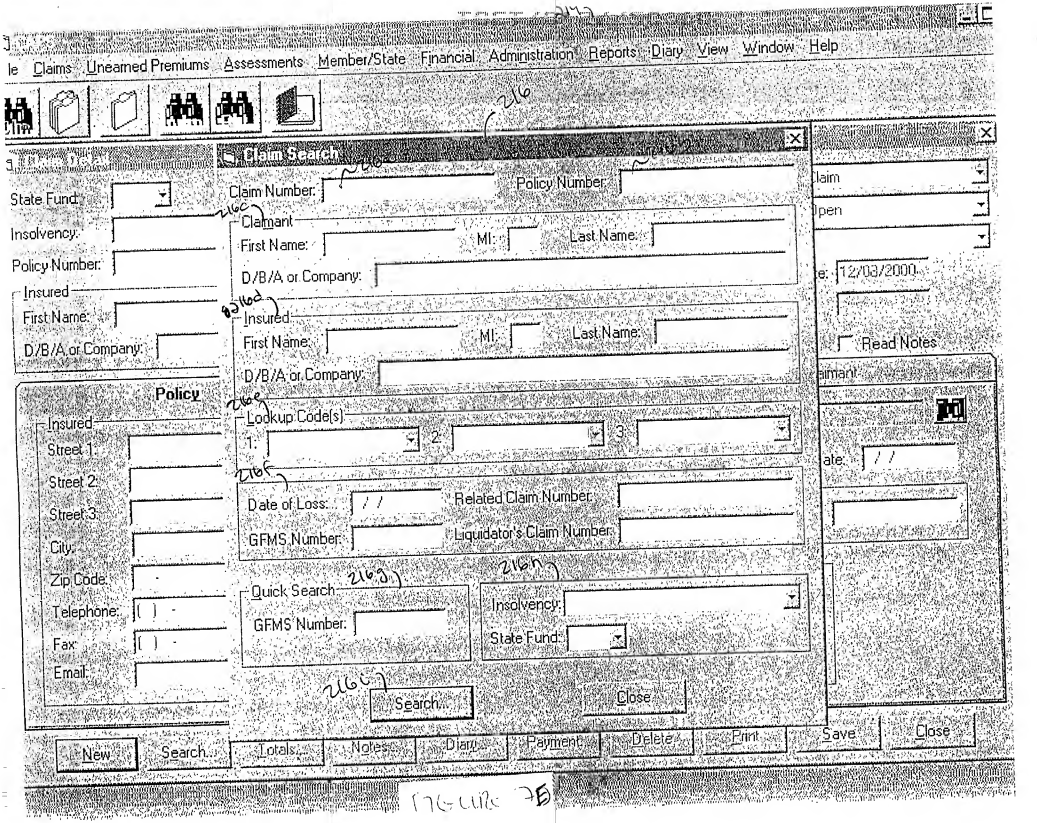
FIGURE 6A

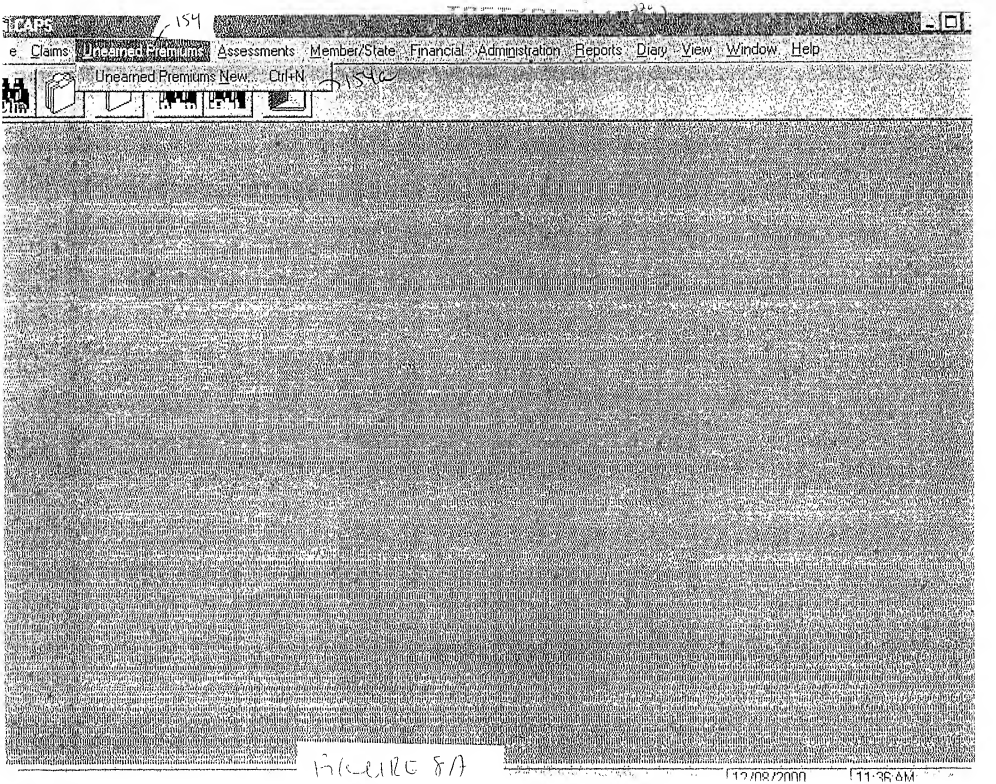

Unearned Premiums
Assessments
Member/State
Financial
Administration
Reports
Diary
View
Window
Help
Claims Search... Ctrl+L
Claims New... Ctrl+K
1556
1557
1558
1559
116URL7A

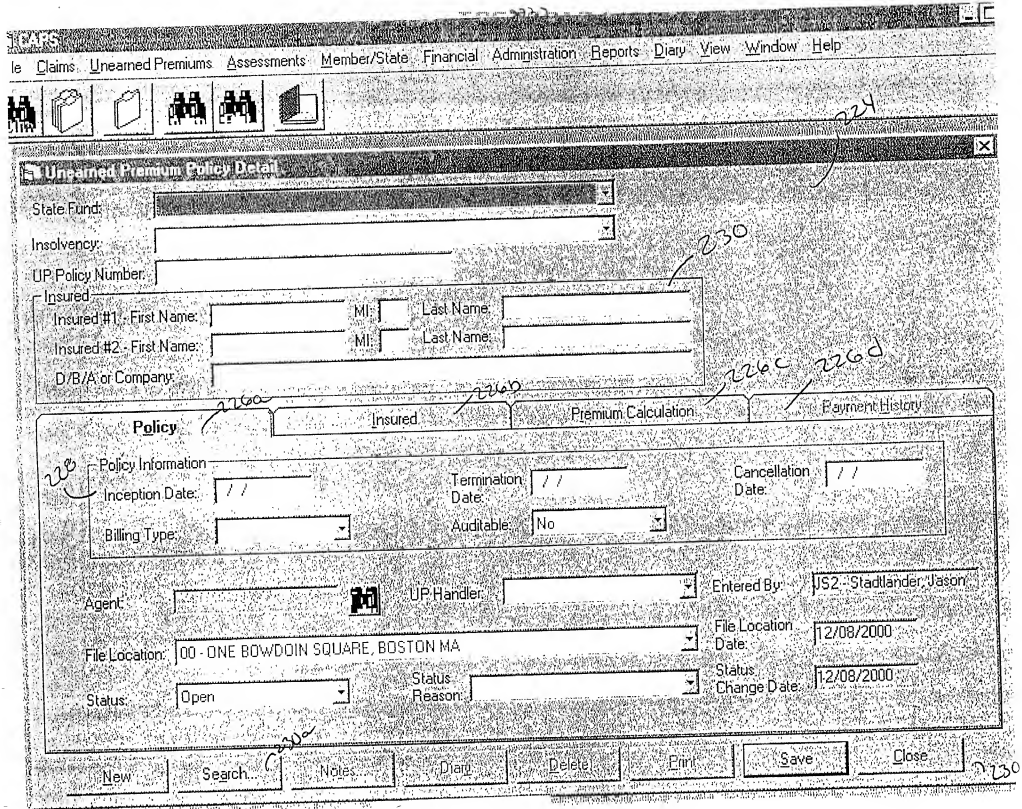

e Claims Unearned Premiums Assessments Member/State Financial Administration Reports Diary View Window Help
Claim Detail
State Fund:
Claim Number:
Type:
Claim
Insolvency:
GFMS Number:
Status:
Open
Policy Number:
Date of Loss:
Status Reason:
Insured
First Name:
MI:
Last Name:
Status Change Date:
12/08/2000
D/B/A or Company:
Closed Status
Approval ID:
Blocked
Read Notes
Policy
Claim
Claimant
Insured
Street 1:
Street 2:
Street 3:
City:
State:
Zip Code:
Telephone:
Ext:
Fax:
Email:
Agent:
Inception Date:
Termination Date:
Policy Level
Level:
Excess of:
Policy Limits
Single
Split
per person
per occurrence
New
Search...
Totals...
Notes...
Diary...
Payment...
Delete
Print
Save
Close

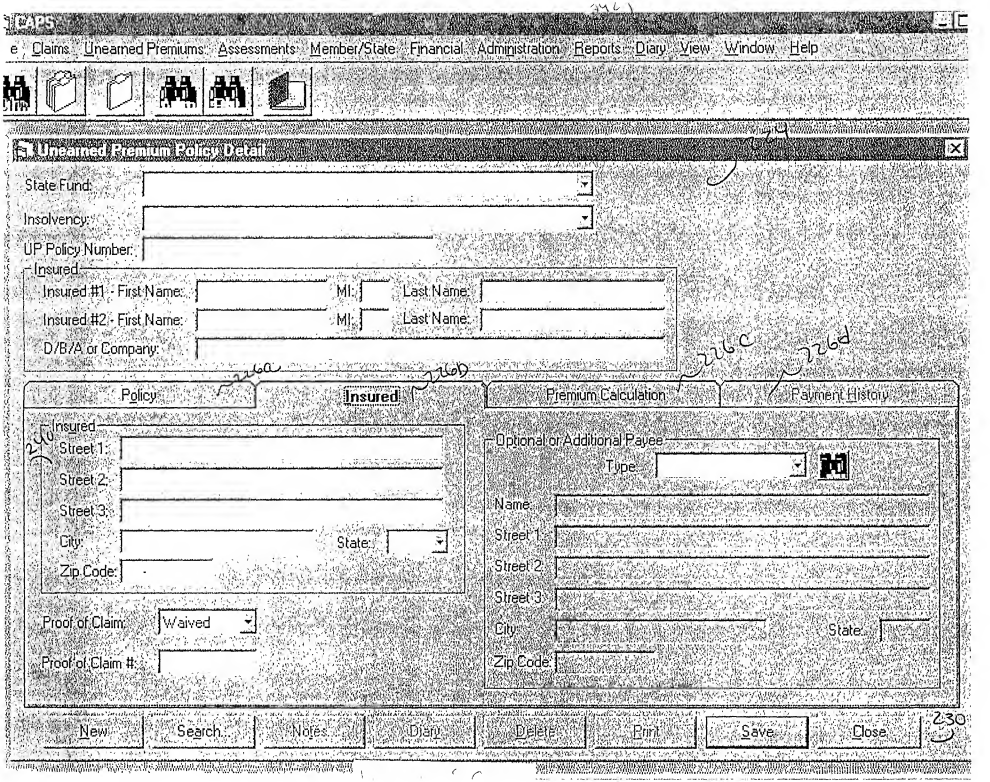

File Claims Unearned Premiums Assessments Member/State Financial Administration Reports Diary View Window Help
Claim Detail
State Fund:
Claim Number:
Type: Claim
Insolvency:
GFMS Number:
Status: Open
Policy Number:
Date of Loss: / /
Status Reason:
Insured
First Name:
MI:
Last Name:
Status Change Date: 12/08/2000
Closed Status Approval ID:
D/B/A or Company:
Blocked
Read Notes
Policy
Claim
Claimant
Date Reported: / /
Date Received: / /
Date Entered: 12/08/2000
Entered By: IS2 - Stadtlander, Jason
Claim Handler:
Related Claim Number:
Liquidator's Claim Number:
Defense Attorney 1:
Defense Attorney 2:
Toxic Site:
Lookup Code(s)
1:
2:
3:
4:
5:
Insurance Account:
Line of Insurance:
Claim Box Number:
File Location:
00 - ONE BOWDOIN SQUARE
File Location Date
12/08/2000
New
Search
Totals
Notes
Diary
Payment
Delete
Print
Save
Close

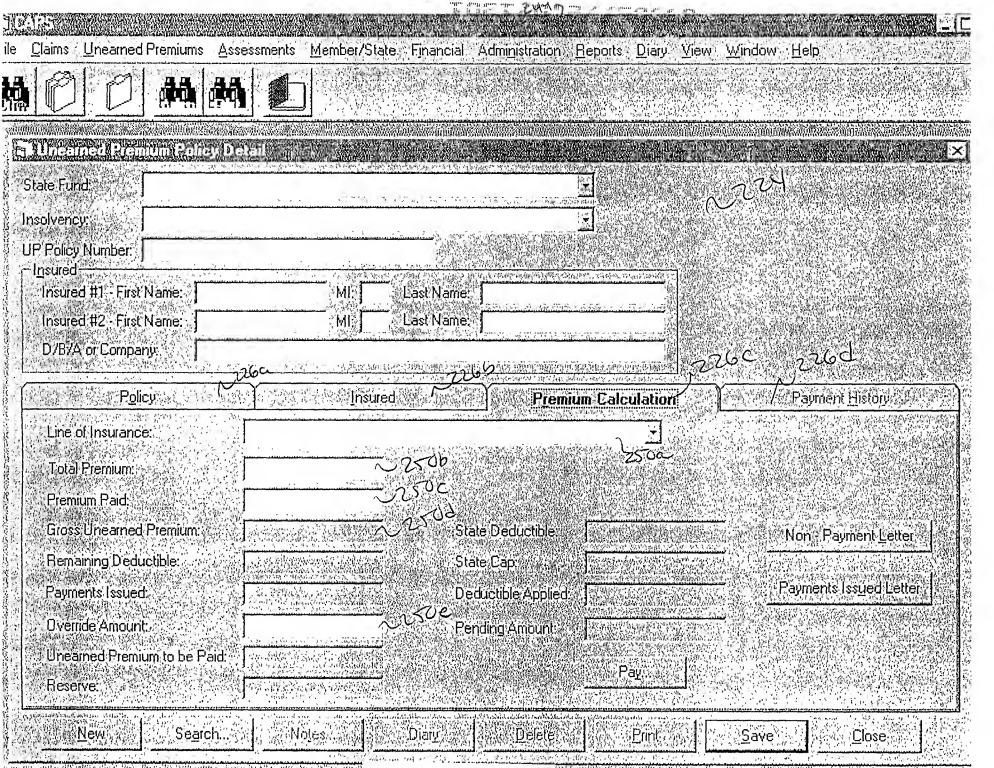

le Claims Unearned Premiums Assessments Member/State Financial Administration Reports Diary View Window Help
Claim Detail
State Fund: MA
Claim Number: 0002913331-003
Type: CBN
Insolvency: 180 - TRUST INSURANCE COMPAN
GFMS Number: 88637
Status: Open
Policy Number:
Date of Loss: 08/20/1998
Status Reason:
Insured
First Name: DEBORAH
MI:
Last Name: FLANAGAN
D/B/A or Company:
Status Change Date: 03/09/2000
Closed Status:
Approval ID:
Blocked
Read Notes
Policy
Claim
Claimant
Claimant List:
Claimant # Claimant Name Address Telephone Fax E-Mail Social Security Date O
1 LAPSHIN, VLAD ( ) ext ( )
New
Modify
Delete
New
Search...
Totals
Notes...
Diary
Payment...
Delete
Print
Save
Close

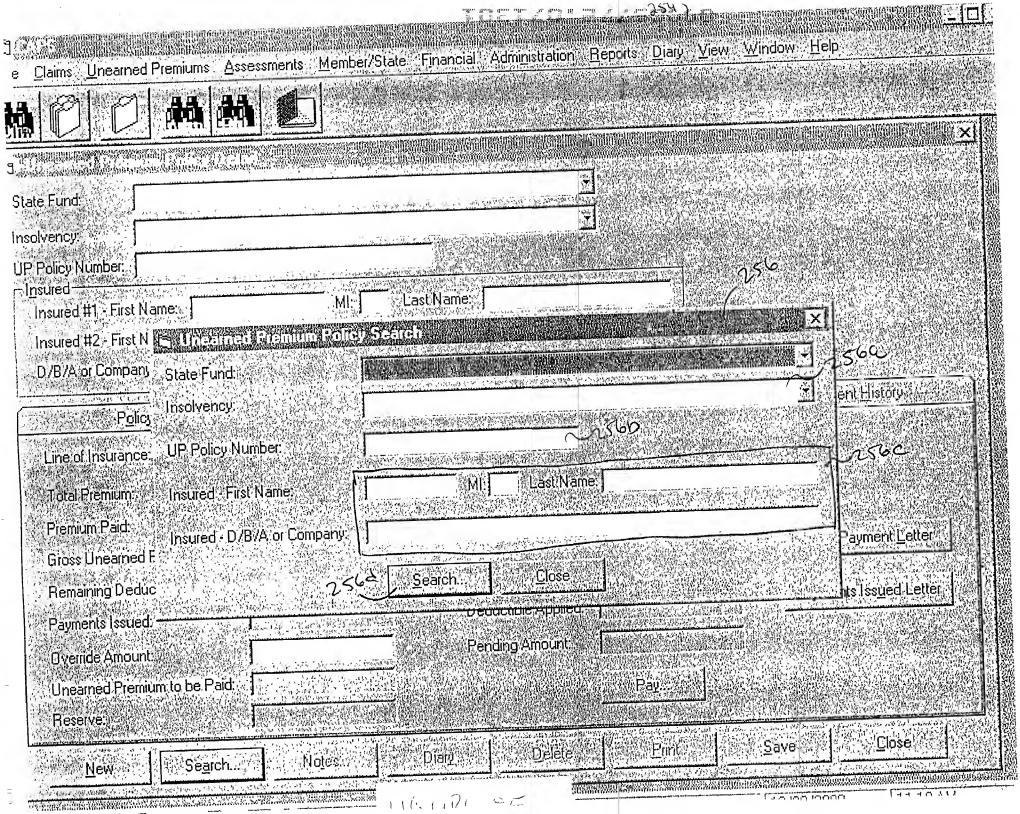

Claims Unearned Premiums Assessments Member/State Financial Administration Reports Diary View Window Help
Claim Search
State Fund:
Insolvency:
Policy Number:
Insured
First Name:
D/B/A or Company:
Claim Number:
Policy Number:
Claimant
First Name:
MI:
Last Name:
D/B/A or Company:
Insured
First Name:
MI:
Last Name:
D/B/A or Company:
Lookup Code(s)
1:
2:
3:
Date of Loss:
Related Claim Number:
GFMS Number:
Liquidator's Claim Number:
Quick Search
GFMS Number:
Insolvency:
State Fund:
Search...
Close
Policy
Insured
Street 1:
Street 2:
Street 3:
City:
Zip Code:
Telephone:
Fax:
Email:
New
Search...
Totals...
Notes...
Diary...
Payment...
Delete
Print
Save
Close
Read Notes

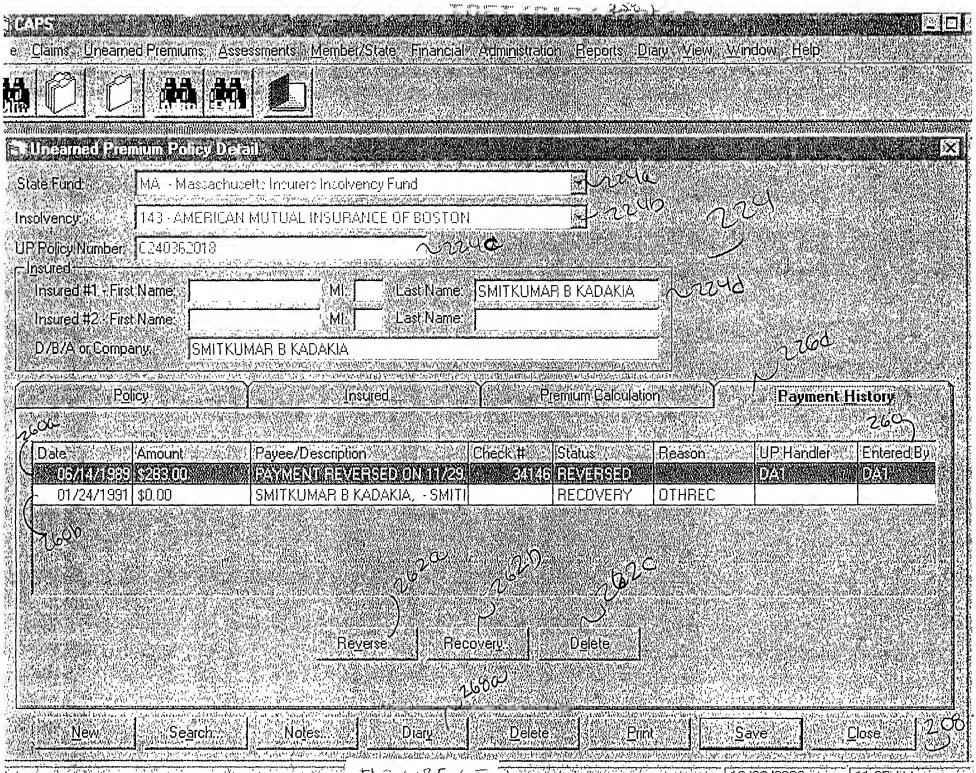

Claims
Unearned Premiums
Assessments
Member/State
Financial
Administration
Reports
Diary
View
Window
Help
Unearned Premiums New... Ctrl+N
12/08/2000
11:36 AM

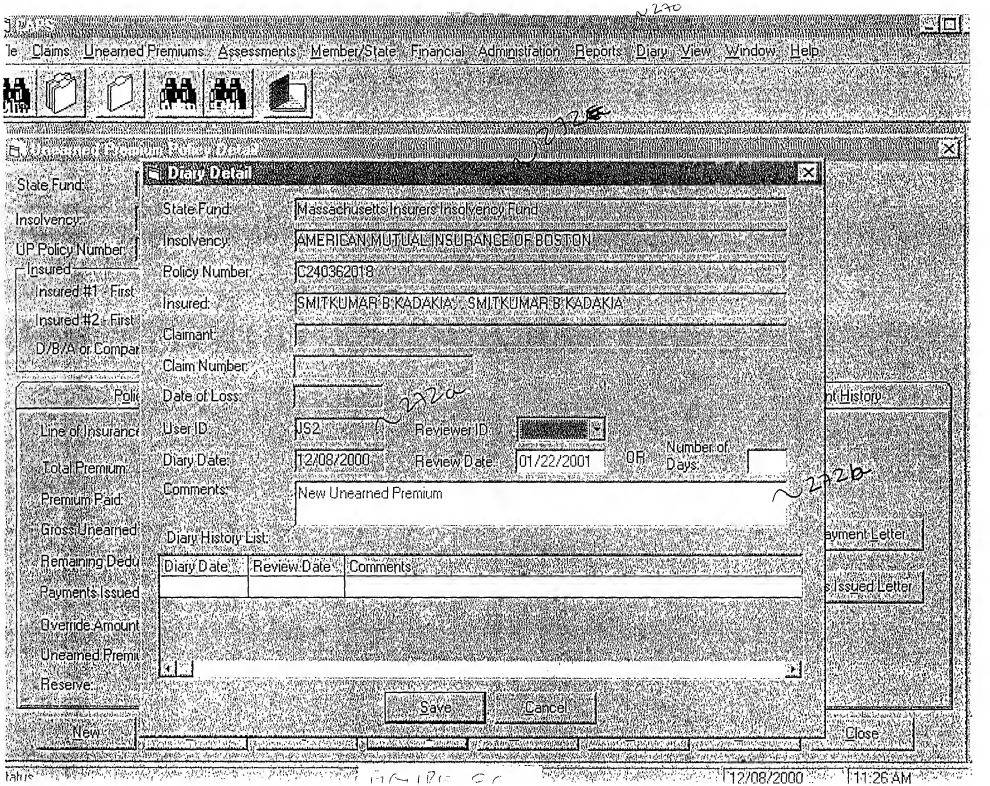

Claims Unearned Premiums Assessments Member/State Financial Administration Reports Diary View Window Help
Unearned Premium Policy Detail
State Fund:
Insolvency:
UP Policy Number:
Insured
Insured #1 - First Name:
MI:
Last Name:
Insured #2 - First Name:
MI:
Last Name:
D/B/A or Company:
Policy
Insured
Premium Calculation
Payment History
Policy Information
Inception Date: / /
Termination Date: / /
Cancellation Date: / /
Billing Type:
Auditable: No
Agent:
UP Handler:
Entered By: JS2 - Stadtlander, Jason
File Location: 00 - ONE BOWDOIN SQUARE, BOSTON MA
File Location Date: 12/08/2000
Status: Open
Status Reason:
Status Change Date: 12/08/2000
New
Search...
Notes...
Diary
Delete
Print
Save
Close


CAPS
e Claims Unearned Premiums Assessments Member/State Financial Administration Reports Diary View Window Help
Unearned Premium Policy Detail
State Fund:
Insolvency:
UP Policy Number:
Insured
Insured #1 - First Name:
MI:
Last Name:
Insured #2 - First Name:
MI:
Last Name:
D/B/A or Company:
Policy
Insured
Premium Calculation
Payment History
Insured
Street 1:
Street 2:
Street 3:
City:
State:
Zip Code:
Proof of Claim:
Waived
Proof of Claim #:
Optional or Additional Payee
Type:
Name:
Street 1:
Street 2:
Street 3:
City:
State:
Zip Code:
New
Search
Notes
Diary
Delete
Print
Save
Close


File Claims Unearned Premiums Assessments Member/State Financial Administration Reports Diary View Window Help
Unearned Premium Policy Detail
State Fund:
Insolvency:
UP Policy Number:
Insured
Insured #1 - First Name:
MI:
Last Name:
Insured #2 - First Name:
MI:
Last Name:
D/B/A or Company:
Policy
Insured
Premium Calculation
Payment History
Line of Insurance:
Total Premium:
Premium Paid:
Gross Unearned Premium:
Remaining Deductible:
Payments Issued:
Override Amount:
Unearned Premium to be Paid:
Reserve:
State Deductible:
State Cap:
Deductible Applied:
Pending Amount:
Non-Payment Letter
Payments Issued Letter
Pay...
New
Search...
Notes
Diary
Delete
Print
Save
Close


Claims Unearned Premiums Assessments Member/State Financial Administration Reports Diary View Window Help
State Fund:
Insolvency:
UP Policy Number:
Insured
Insured #1 - First Name:
MI:
Last Name:
Insured #2 - First N
D/B/A or Company
Unearned Premium Policy Search
State Fund:
Insolvency:
UP Policy Number:
Insured - First Name:
MI:
Last Name:
Insured - D/B/A or Company:
Search
Close
Line of Insurance:
Total Premium:
Premium Paid:
Gross Unearned F
Remaining Deduc
Payments Issued:
Override Amount:
Unearned Premium to be Paid:
Reserve:
Pending Amount:
Pay...
New
Search...
Notes...
Diary
Delete
Print
Save
Close


CAPS
e Claims Unearned Premiums Assessments Member/State Financial Administration Reports Diary View Window Help
Unearned Premium Policy Detail
State Fund: MA - Massachusetts Insurers Insolvency Fund
Insolvency: 143 - AMERICAN MUTUAL INSURANCE OF BOSTON
UP Policy Number: C240363018
Insured
Insured #1 - First Name: MI: Last Name: SMITKUMAR B KADAKIA
Insured #2 - First Name: MI: Last Name:
D/B/A or Company: SMITKUMAR B KADAKIA
Policy
Insured
Premium Calculation
Payment History
Date Amount Payee/Description Check # Status Reason UP Handler Entered By
06/14/1999 $283.00 PAYMENT REVERSED ON 11/29 34146 REVERSED DAT DAT
01/24/1991 $0.00 SMITKUMAR B KADAKIA, - SMITI RECOVERY OTHREC
Reverse
Recovery
Delete
New
Search
Notes
Diary
Delete
Print
Save
Close
12/08/2000
11:26 AM


Claims Unearned Premiums Assessments Member/State Financial Administration Reports Diary View Window Help
Diary Detail
State Fund:
Massachusetts Insurers Insolvency Fund
Insolvency:
AMERICAN MUTUAL INSURANCE OF BOSTON
Policy Number:
C240362018
Insured:
SMITKUMAR B KADAKIA , SMITKUMAR B KADAKIA
Claimant:
Claim Number:
Date of Loss:
User ID:
JS2
Reviewer ID:
Diary Date:
12/08/2000
Review Date:
01/22/2001
OR
Number of Days:
Comments:
New Unearned Premium
Diary History List:
Diary Date
Review Date
Comments
Save
Cancel
New
Close
12/08/2000
11:26 AM

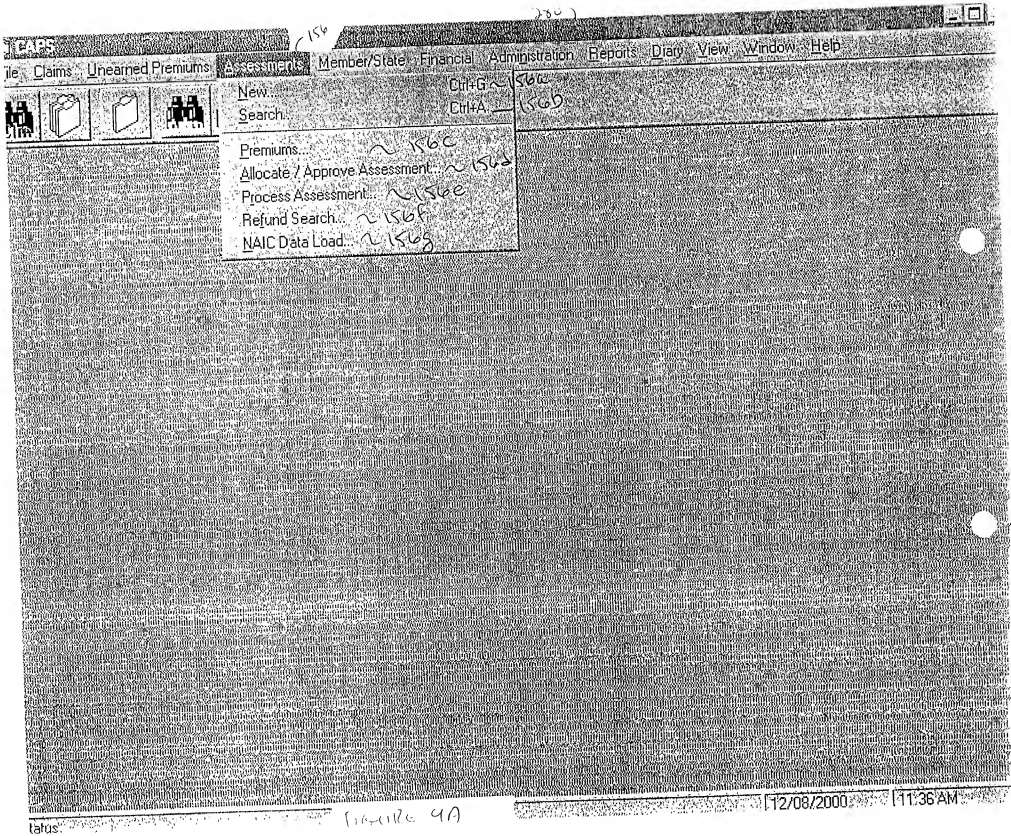
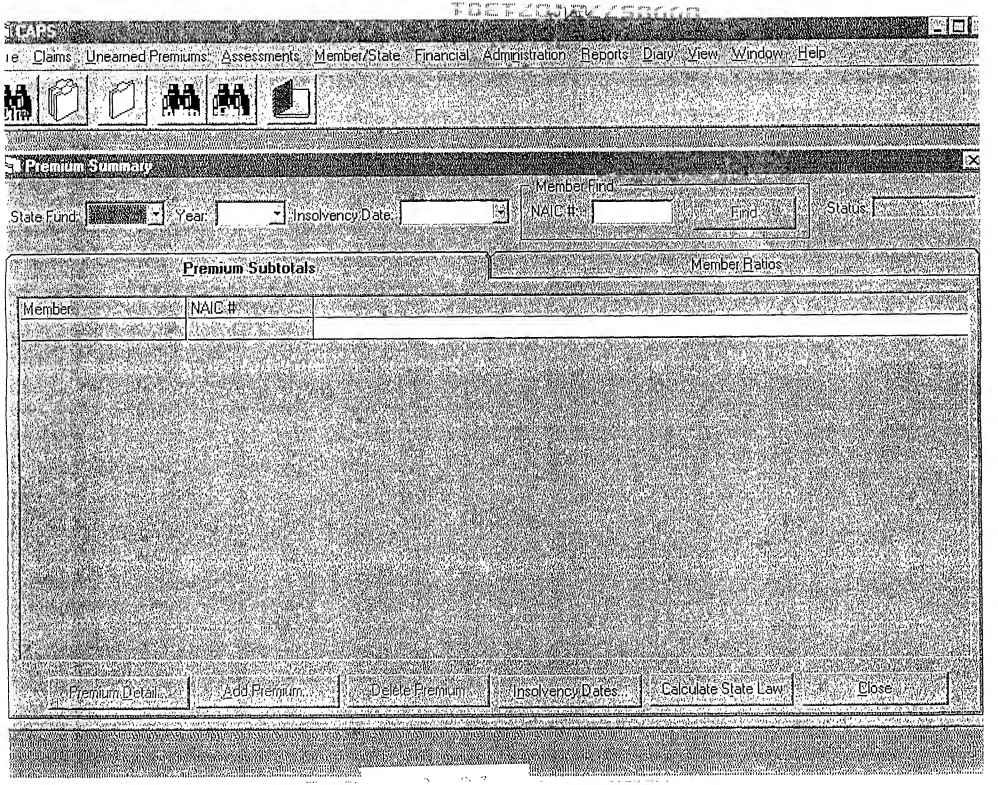

EARS
File Claims Unearned Premiums Assessments Member/State Financial Administration Reports Diary View Window Help
New... Ctrl+G
Search... Ctrl+A
Premiums...
Allocate / Approve Assessment...
Process Assessment...
Refund Search...
NAIC Data Load...
12/08/2000
11:36 AM
tatus:

Figure 9A


CARS
Claims
Unearned Premiums
Assessments
Member/State
Financial
Administration
Reports
Diary
View
Window
Help
Premium Summary
State Fund:
Year:
Insolvency Date:
Member Find
NAIC #:
Find
Status:
Premium Subtotals
Member Ratios
Member
NAIC #
Premium Detail...
Add Premium...
Delete Premium
Insolvency Dates...
Calculate State Law
Close

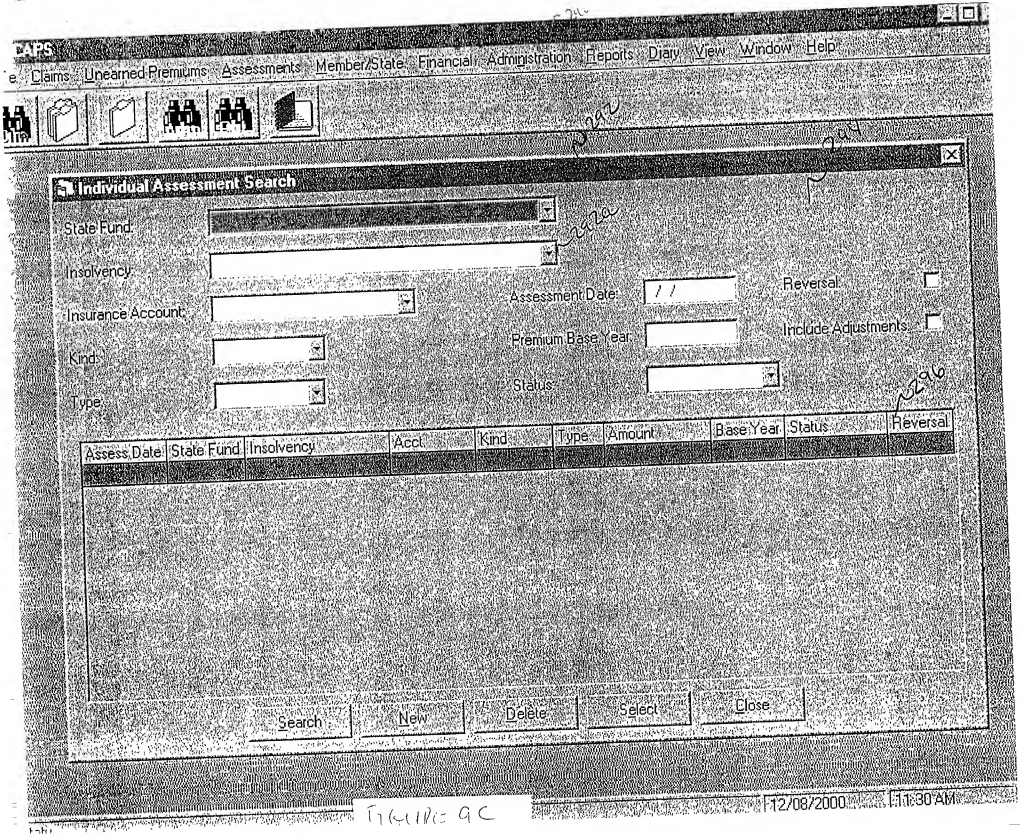

APS
Claims
Unearned Premiums
Assessments
Member/State
Financial
Administration
Reports
Diary
View
Window
Help
Individual Assessment Search
State Fund:
Insolvency:
Insurance Account:
Kind:
Type:
Assessment Date:
Premium Base Year:
Status:
Reversal
Include Adjustments
Assess. Date
State Fund
Insolvency
Acct
Kind
Type
Amount
Base Year
Status
Reversal
Search
New
Delete
Select
Close
12/08/2000
11:30 AM

FIGURE 9C

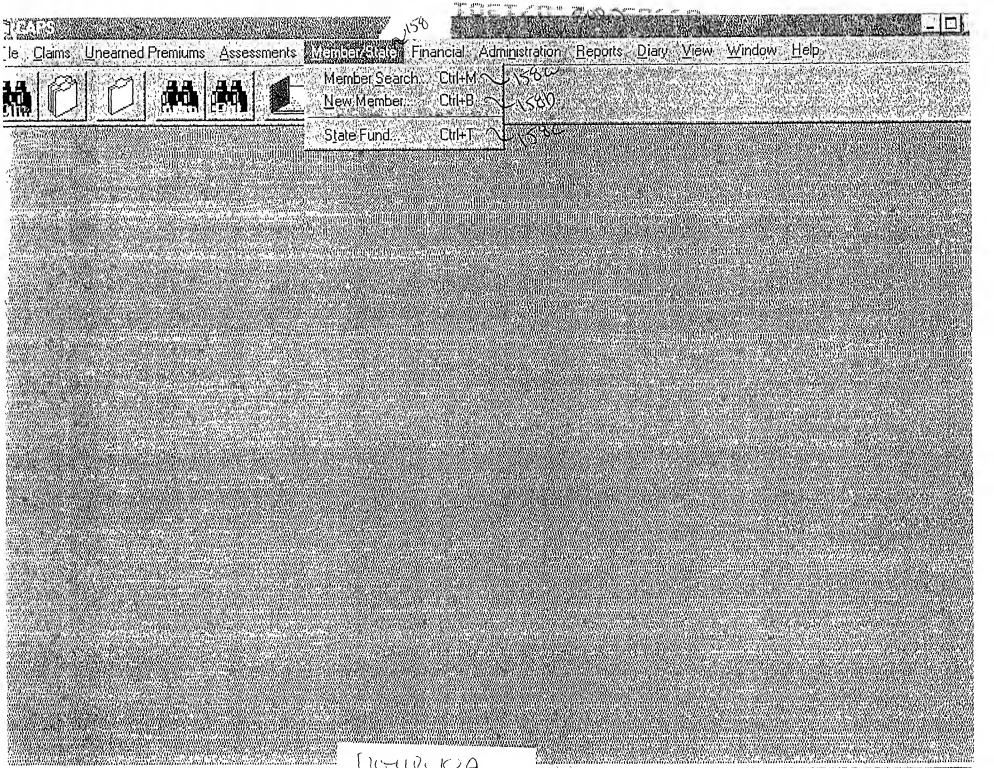
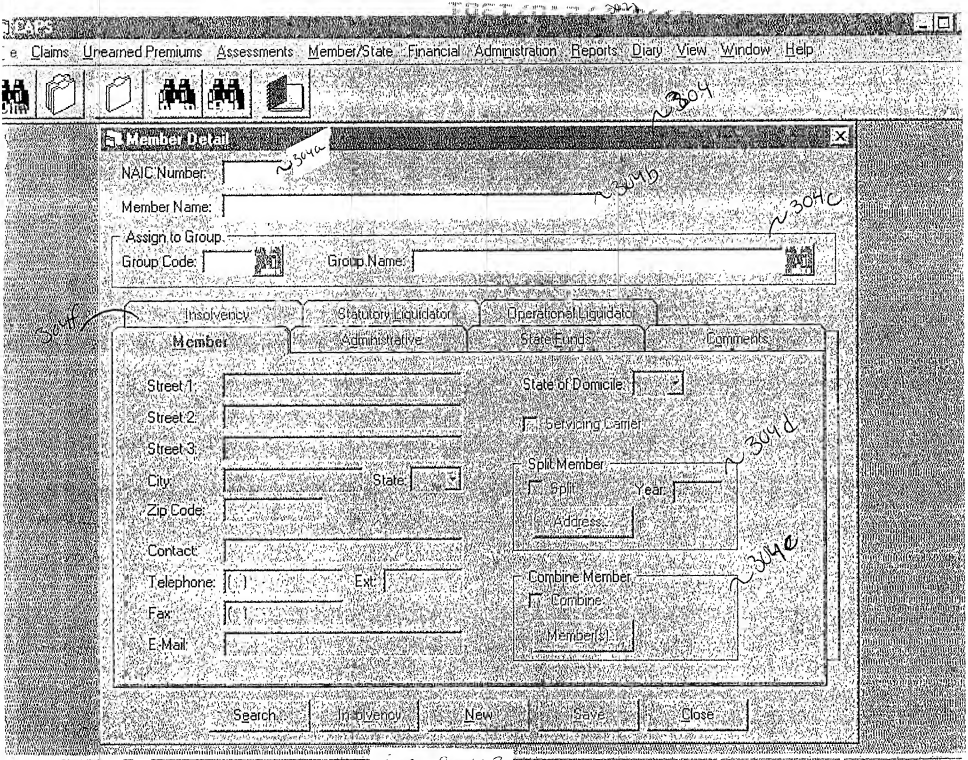

Claims
Unearned Premiums
Assessments
Member/State
Financial
Administration
Reports
Diary
View
Window
Help
Member Search... Ctrl+M
New Member... Ctrl+B
State Fund... Ctrl+T

FIGURE 4A


Claims
Unearned Premiums
Assessments
Member/State
Financial
Administration
Reports
Diary
View
Window
Help
Member Detail
NAIC Number:
Member Name:
Assign to Group
Group Code:
Group Name:
Insolvency
Statutory Liquidator
Operational Liquidator
Member
Administrative
State Fund
Comments
Street 1:
Street 2:
Street 3:
City:
State:
Zip Code:
Contact:
Telephone:
Ext:
Fax:
E-Mail:
State of Domicile:
Servicing Carrier
Split Member
Split
Year:
Address...
Combine Member
Combine
Member(s)...
Search...
Insolvency
New
Save
Close
304
304a
304b
304c
304d
304e
304f

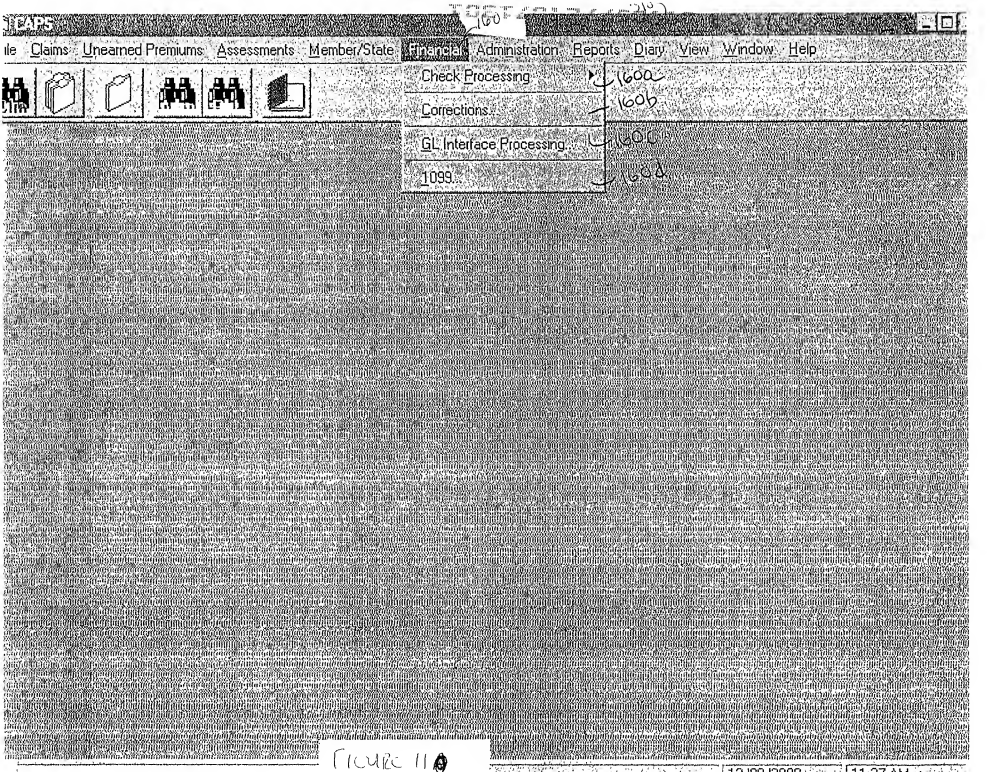

PAPS
le Claims Unearned Premiums Assessments Member/State Financials Administration Reports Diary View Window Help
Check Processing...
Corrections...
GL Interface Processing...
1099...
1600
1600a
1600b
1600c
1600d
12/08/2000
11:37 AM

FIGURE 11

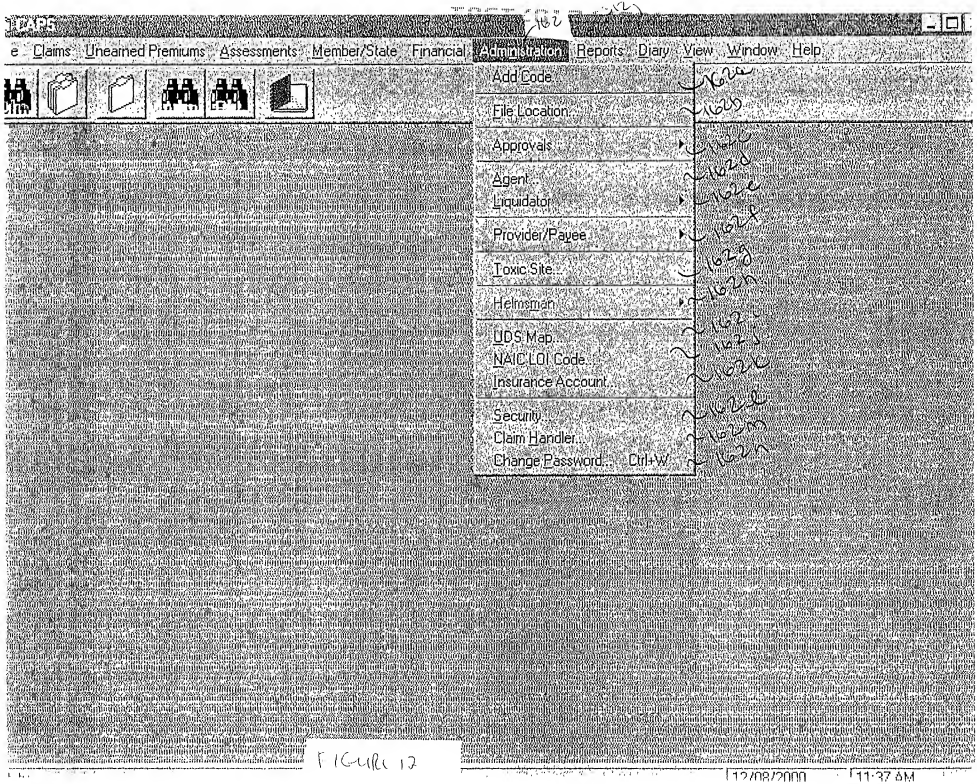

e Claims Unearned Premiums Assessments Member/State Financial Administration Reports Diary View Window Help
Add Code...
File Location...
Approvals
Agent...
Liquidator
Provider/Payee...
Toxic Site...
Helpsman...
UDS Map...
NAIC LOI Code...
Insurance Account...
Security...
Claim Handler...
Change Password... Ctrl+W
12/08/2000
11:37 AM

FIGURE 12

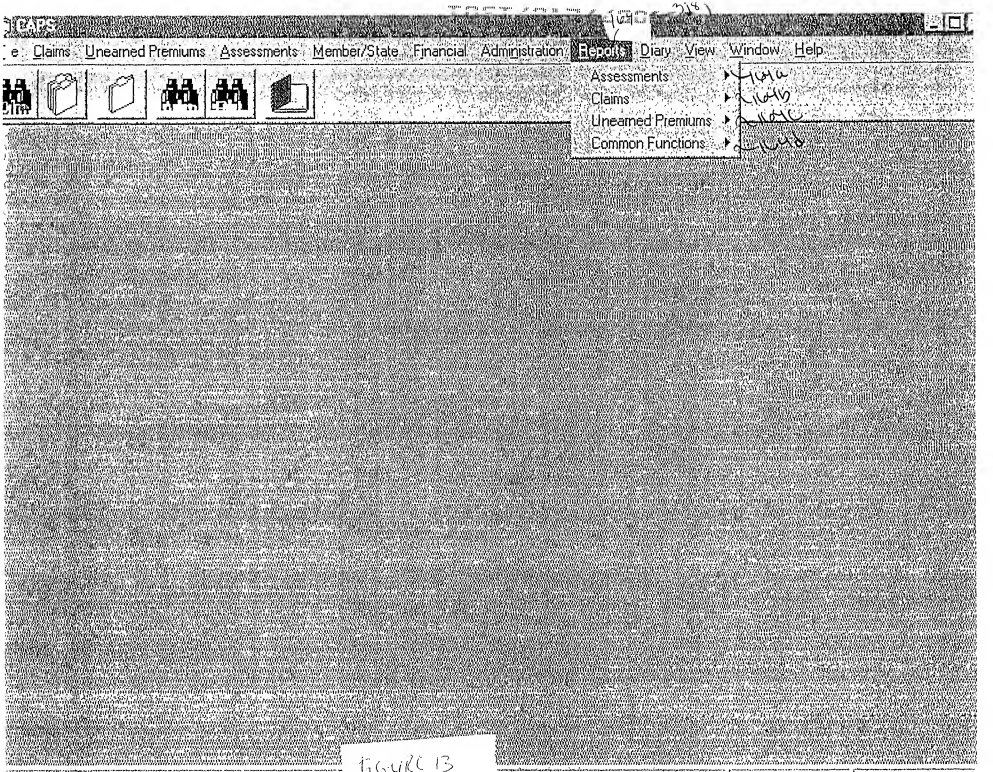
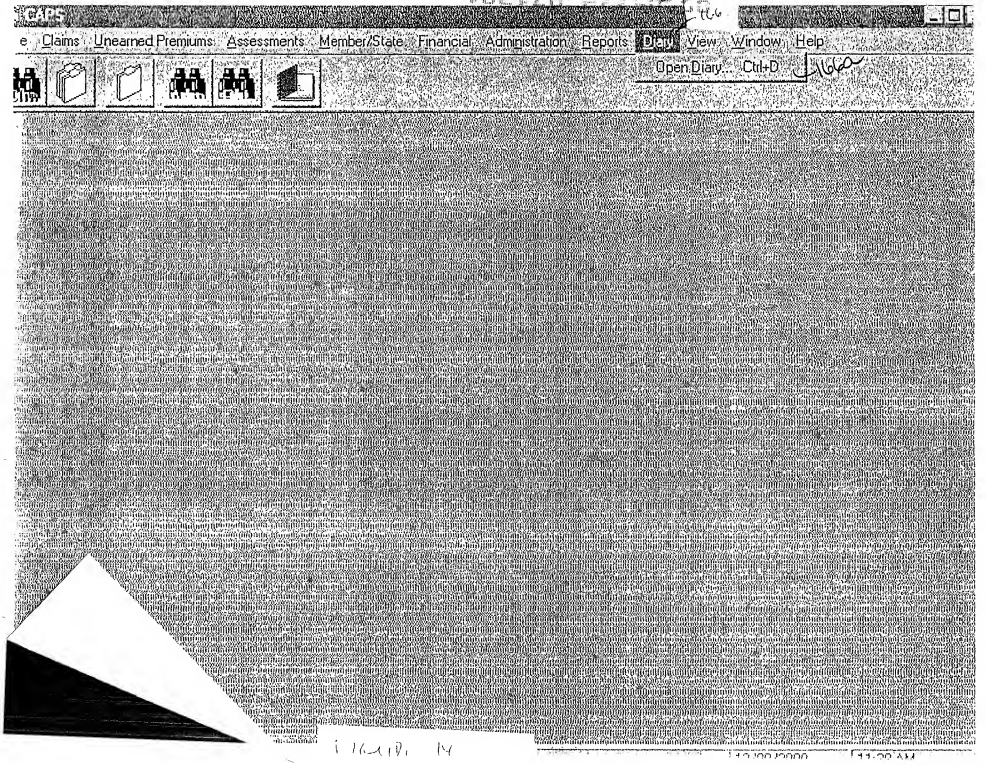
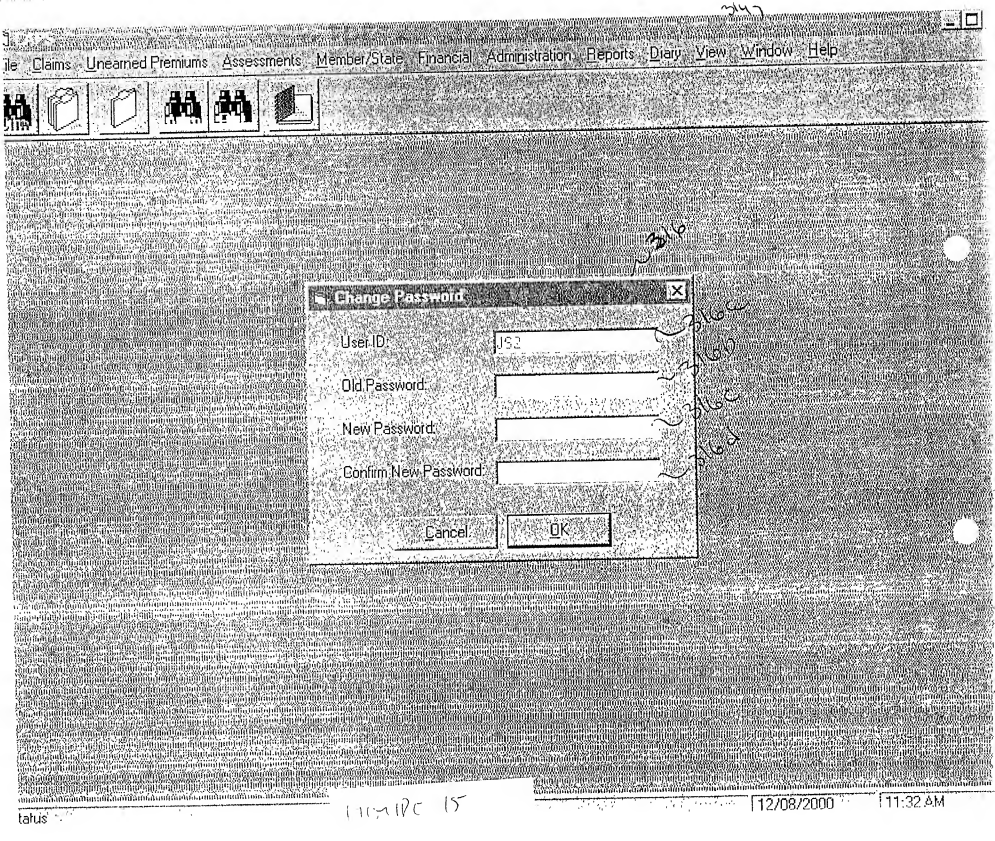
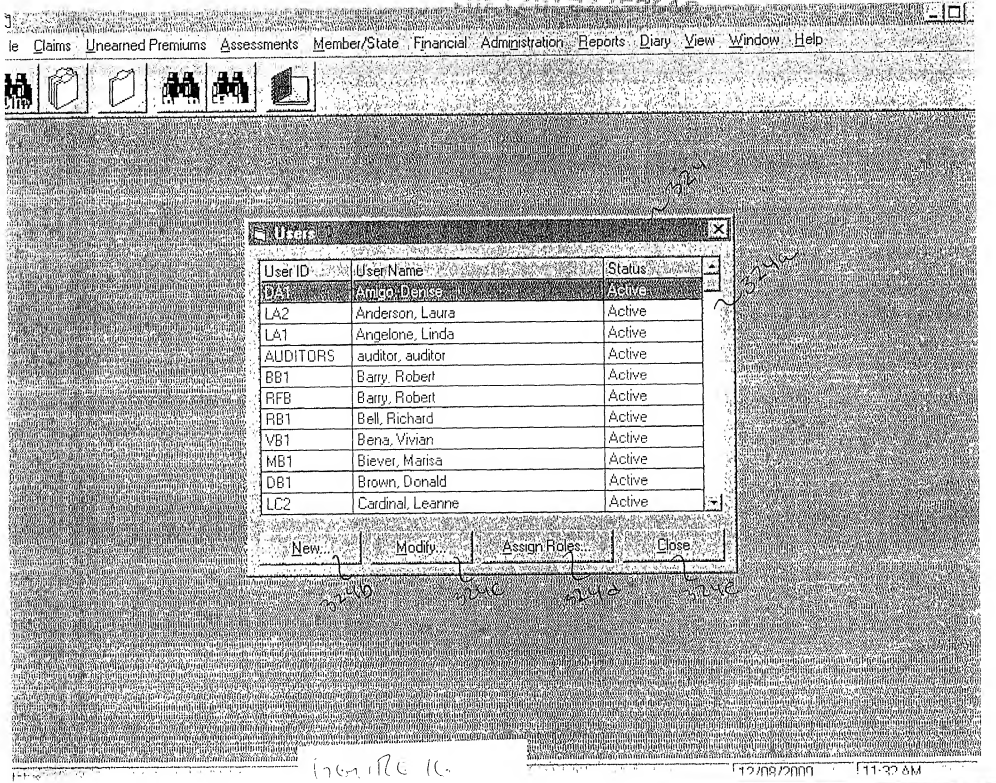
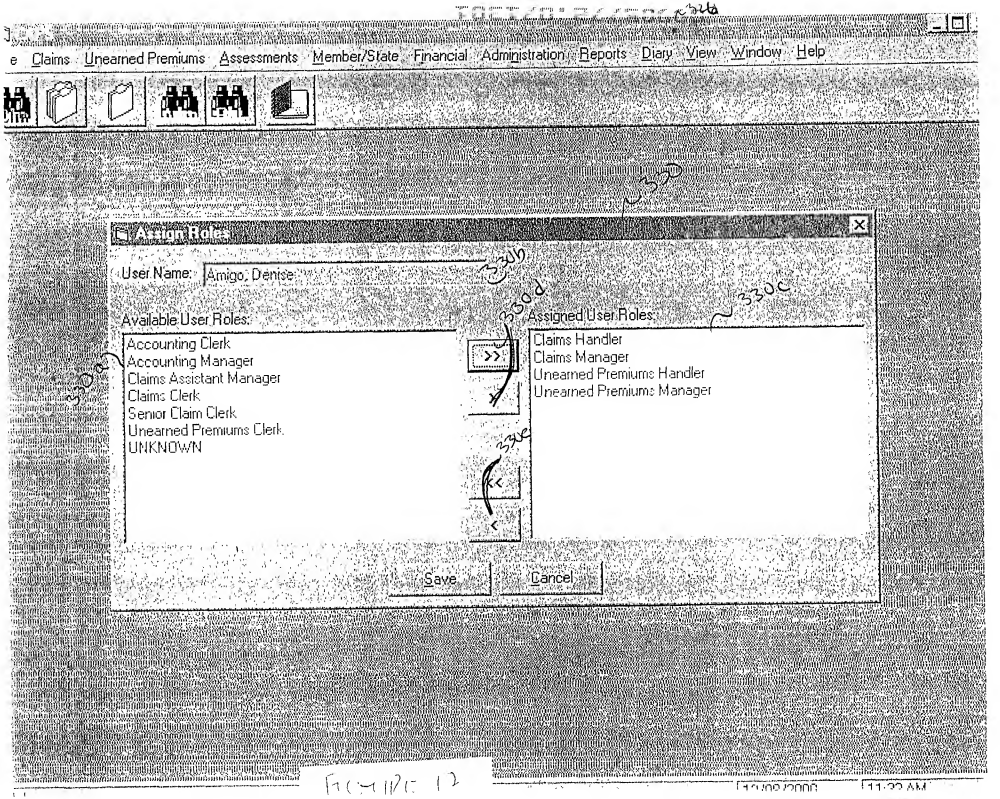

CAPS
e Claims Unearned Premiums Assessments Member/State Financial Administration Reports Diary View Window Help
Assessments
Claims
Unearned Premiums
Common Functions
12/09/2000
11:28 AM

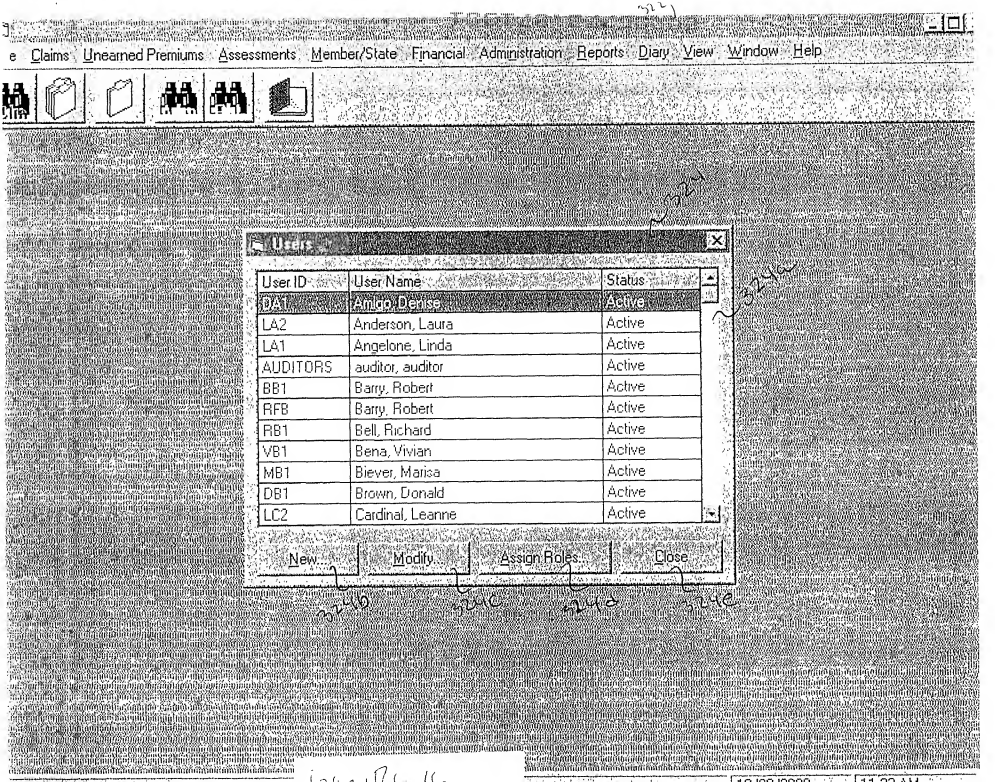
FIGURE 13

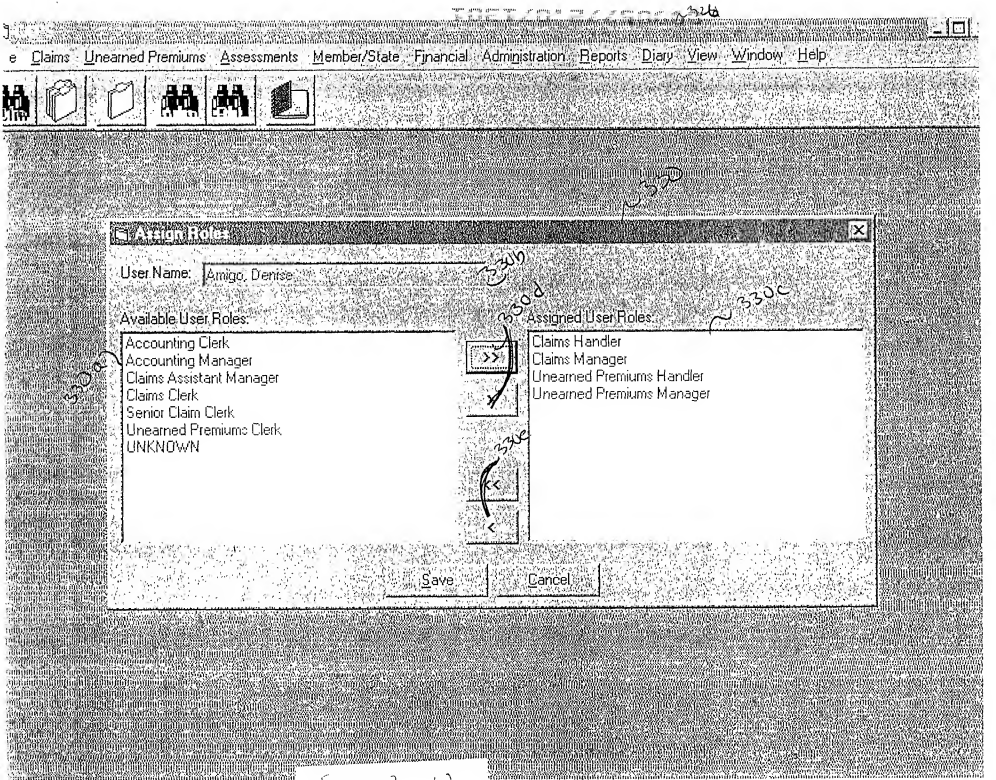

CARS
e Claims Unearned Premiums Assessments Member/State Financial Administration Reports Diary View Window Help
Open Diary... Ctrl+D

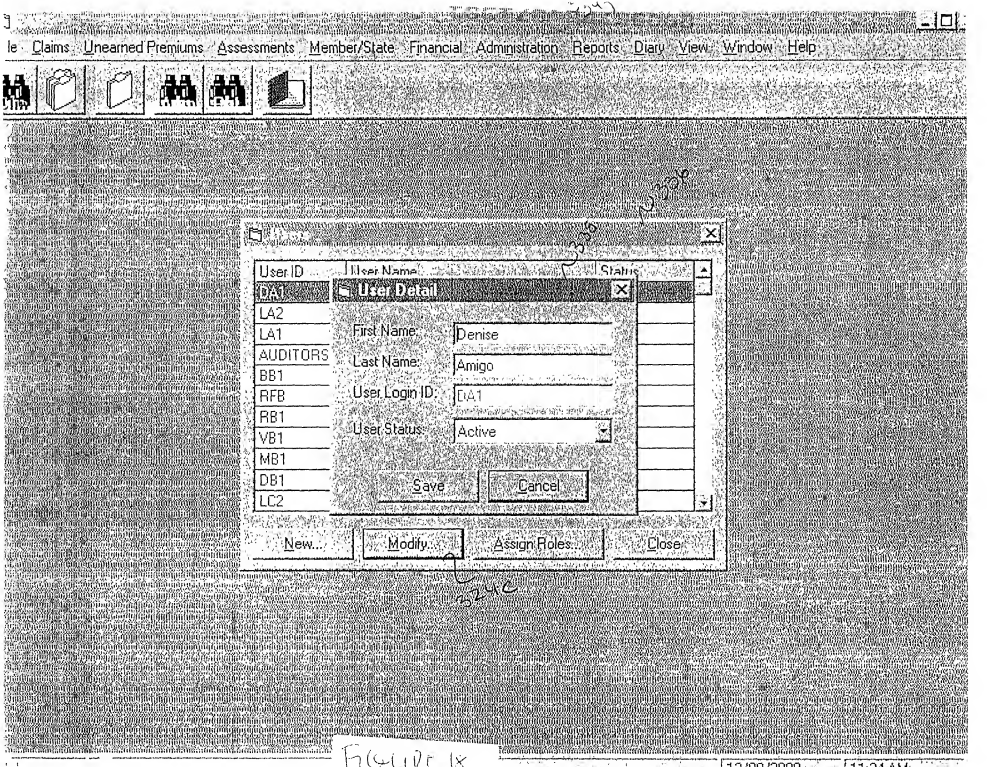

File Claims Unearned Premiums Assessments Member/State Financial Administration Reports Diary View Window Help
Change Password
User ID:
Old Password:
New Password:
Confirm New Password:
Cancel
OK
12/08/2000
11:32 AM


Claims
Unearned Premiums
Assessments
Member/State
Financial
Administration
Reports
Diary
View
Window
Help
Users
User ID
User Name
Status
DA1
Amigo, Denise
Active
LA2
Anderson, Laura
Active
LA1
Angelone, Linda
Active
AUDITORS
auditor, auditor
Active
BB1
Barry, Robert
Active
RFB
Barry, Robert
Active
RB1
Bell, Richard
Active
VB1
Bena, Vivian
Active
MB1
Biever, Marisa
Active
DB1
Brown, Donald
Active
LC2
Cardinal, Leanne
Active
New...
Modify...
Assign Roles...
Close
12/08/2000
11:32 AM


e Claims Unearned Premiums Assessments Member/State Financial Administration Reports Diary View Window Help
Assign Roles
User Name: Amigo, Denise
Available User Roles:
Accounting Clerk
Accounting Manager
Claims Assistant Manager
Claims Clerk
Senior Claim Clerk
Unearned Premiums Clerk
UNKNOWN
>>
<<
Assigned User Roles:
Claims Handler
Claims Manager
Unearned Premiums Handler
Unearned Premiums Manager
Save
Cancel
330
330a
330b
330c
330d
330e


e Claims Unearned Premiums Assessments Member/State Financial Administration Reports Diary View Window Help
Users
User ID
User Name
Status
DA1 Amigo,Denise Active
LA2 Anderson, Laura Active
LA1 Angelone, Linda Active
AUDITORS auditor, auditor Active
BB1 Barry, Robert Active
RFB Barry, Robert Active
RB1 Bell, Richard Active
VB1 Bena, Vivian Active
MB1 Biever, Marisa Active
DB1 Brown, Donald Active
LC2 Cardinal, Leanne Active
New...
Modify...
Assign Roles...
Close
12/08/2000
11:33 AM


e Claims Unearned Premiums Assessments Member/State Financial Administration Reports Diary View Window Help
Assign Roles
User Name: Amigo, Denise
Available User Roles:
Accounting Clerk
Accounting Manager
Claims Assistant Manager
Claims Clerk
Senior Claim Clerk
Unearned Premiums Clerk
UNKNOWN
>>
<<
Assigned User Roles:
Claims Handler
Claims Manager
Unearned Premiums Handler
Unearned Premiums Manager
Save
Cancel


Claims
Unearned Premiums
Assessments
Member/State
Financial
Administration
Reports
Diary
View
Window
Help
User ID
User Name
Status
DA1
LA2
LA1
AUDITORS
BB1
RFB
RB1
VB1
MB1
DB1
LC2
User Detail
First Name:
Denise
Last Name:
Amigo
User Login ID:
DA1
User Status:
Active
Save
Cancel
New...
Modify...
Assign Roles...
Close
12/08/2000
11:34 AM

PATENT APPLICATION

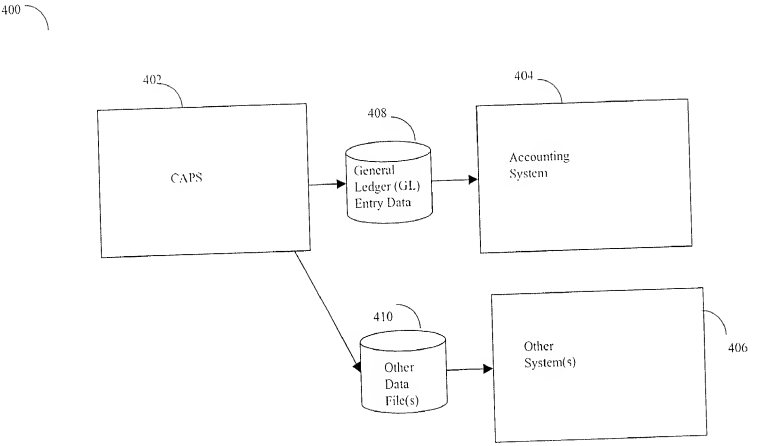


FIGURE 19

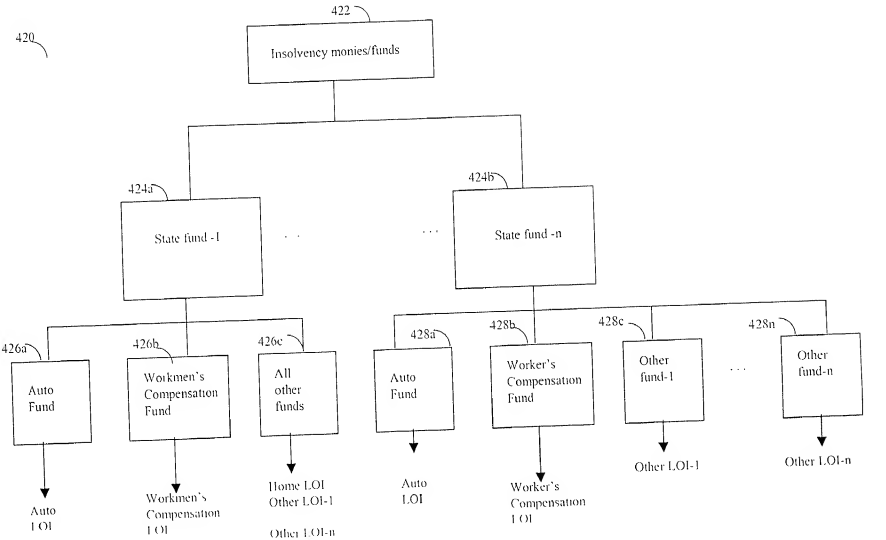

420
422
Insolvency monies/funds
424a
State fund -1
424b
State fund -n
426a
Auto Fund
426b
Workmen's Compensation Fund
426c
All other funds
428a
Auto Fund
428b
Worker's Compensation Fund
428c
Other fund-1
428n
Other fund-n
Auto LOI
Workmen's Compensation LOI
Home LOI
Other LOI-1
Other LOI-n
Auto LOI
Worker's Compensation LOI
Other LOI-1
Other LOI-n

FIGURE 20

500

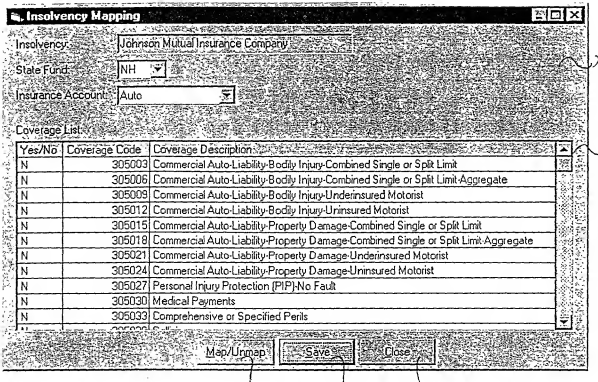


FIGURE 21

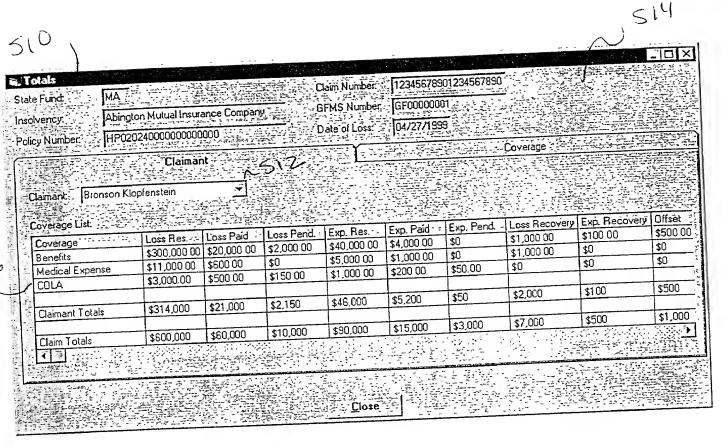
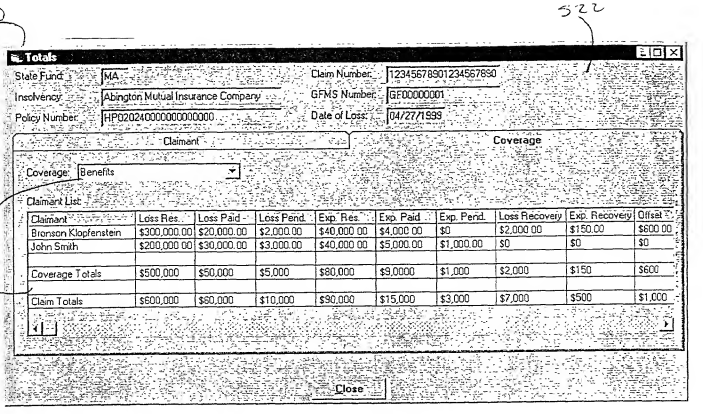

510
514
Totals
State Fund:
MA
Insolvency:
Abington Mutual Insurance Company
Policy Number:
HP020240000000000000
Claim Number:
12345678901234567890
GFMS Number:
GF00000001
Date of Loss:
04/27/1999
Coverage
Claimant
512
Claimant:
Bronson Klopfenstein
Coverage List:
516
Coverage
Loss Res.
Loss Paid
Loss Pend.
Exp. Res.
Exp. Paid
Exp. Pend.
Loss Recovery
Exp. Recovery
Offset
Benefits
$300,000.00
$20,000.00
$2,000.00
$40,000.00
$4,000.00
$0
$1,000.00
$100.00
$500.00
Medical Expense
$11,000.00
$500.00
$0
$5,000.00
$1,000.00
$0
$1,000.00
$0
$0
COLA
$3,000.00
$500.00
$150.00
$1,000.00
$200.00
$50.00
$0
$0
$0
Claimant Totals
$314,000
$21,000
$2,150
$46,000
$5,200
$50
$2,000
$100
$500
Claim Totals
$600,000
$60,000
$10,000
$90,000
$15,000
$3,000
$7,000
$500
$1,000
Close

FIGURE 22



Claimant	Loss Res.	Loss Paid	Loss Pend.	Exp. Res.	Exp. Paid	Exp. Pend.	Loss Recovery	Exp. Recovery	Offset
Bronson Klopfenstein	$300,000.00	$20,000.00	$2,000.00	$40,000.00	$4,000.00	$0	$2,000.00	$150.00	$600.00
John Smith	$200,000.00	$30,000.00	$3,000.00	$40,000.00	$5,000.00	$1,000.00	$0	$0	$0
Coverage Totals	$500,000	$50,000	$5,000	$80,000	$9,0000	$1,000	$2,000	$150	$600
Claim Totals	$600,000	$80,000	$10,000	$90,000	$15,000	$3,000	$7,000	$500	$1,000

FIGURE 23

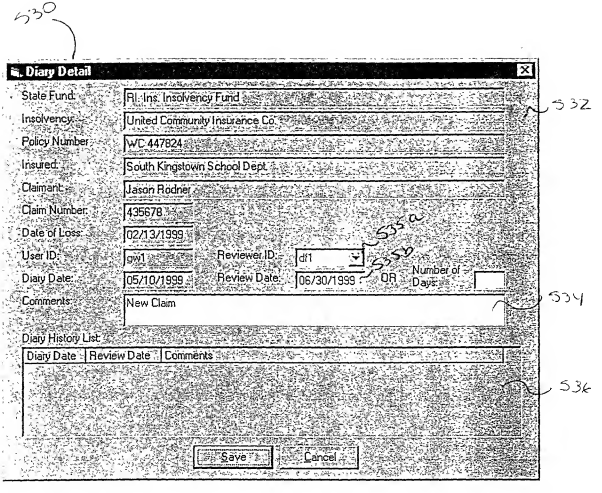

530
Diary Detail
State Fund:
FI. Ins. Insolvency Fund
Insolvency:
United Community Insurance Co.
Policy Number:
WC 447824
Insured:
South Kingstown School Dept
Claimant:
Jason Rodner
Claim Number:
435678
Date of Loss:
02/13/1999
User ID:
gw1
Reviewer ID:
df1
Diary Date:
05/10/1999
Review Date:
06/30/1999
OR
Number of Days:
Comments:
New Claim
Diary History List:
Diary Date
Review Date
Comments
Save
Cancel
532
534
536
535a
535b

FIGURE 24

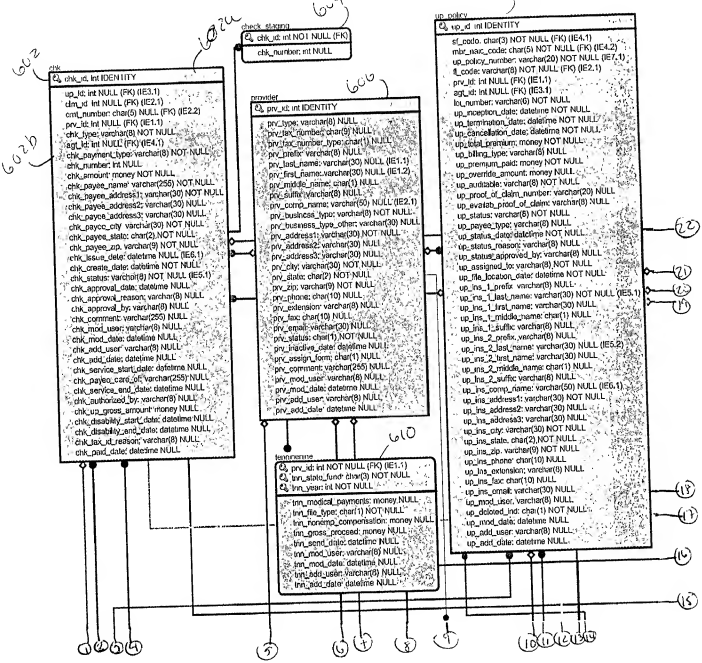
[redacted]	Action	Diary Type	Claim	Unearned Premium	Generic+
Approval	• when a claim payment is deleted	Claim payment approval	✓		
Approval	• when an unearned premium payment is deleted	Up payment approval		✓	
Approval	• when the closing of a claim is rejected	Claim closing approval	✓		
Approval	• when the closing of an unearned premium is rejected	Up closing approval		✓	
Claim	• when a claim status is changed to "close"	Claim status changed	✓		
Unearned Premium	• when an unearned premium status is changed to "close"	Up status changed		✓	
LOI	• when a LOI is modified	Loi modified			✓
LOI	• when a LOI is deleted	Loi deleted			✓
Notes	• when a claim note is sent to a reviewer	Claim note	✓[1]		
Notes	• when a claimant note is sent to a reviewer	Claimant note	✓		
Notes	• when an unearned premium note is sent to a reviewer	Up note		✓	
Reserve	• when a reserve is adjusted for a claimant	Claim reserve	✓		
Reserve	• when a reserve is adjusted for a unearned premium policy	Up reserve		✓	
Taxpayer	• when a new taxpayer is added	New taxpayer			✓
Taxpayer	• when a taxpayer is modified	Modify taxpayer			✓
Claimant	• When the user enters or adjusts a reserve above a user's preset reserve aggregate or increment limit, then a diary is sent to a Claim Manager for approval.	Claimant reserve above limit	✓		

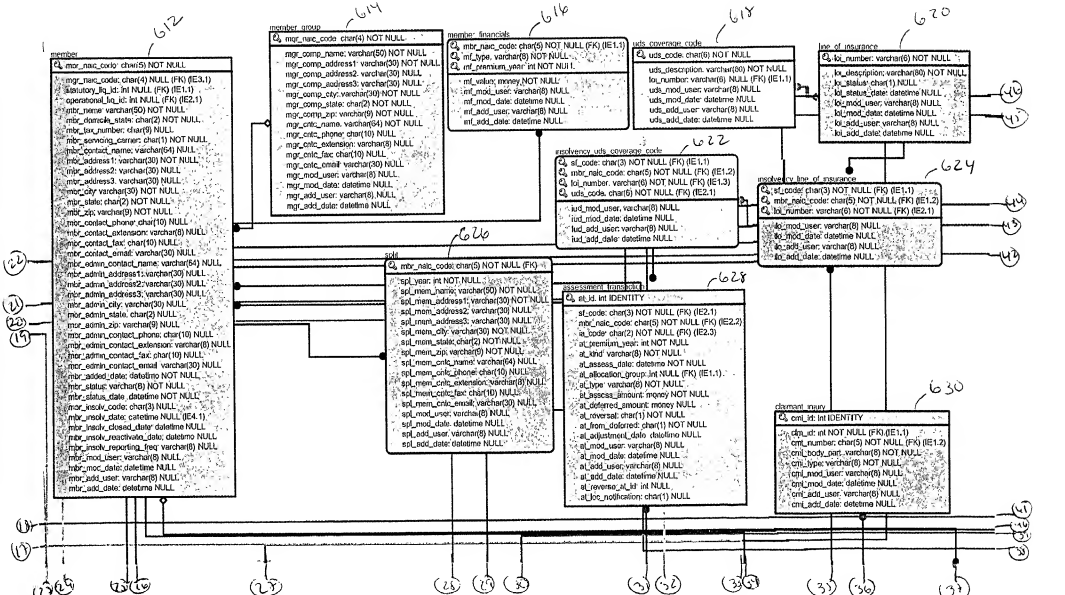
FIGURE 25

FIGURE 26A

NOT FOR DISTRIBUTION

FIGURE 26B




member
mbr_nauc_code: char(4) NOT NULL
mbr_comp_name: varchar(50) NOT NULL (FK) (IE3.1)
statutory_liq_id: Int NULL (FK) (IE1.1)
operational_liq_id: Int NULL (FK) (IE2.1)
mbr_name: varchar(50) NOT NULL
mbr_domicile_state: char(2) NOT NULL
mbr_tax_number: char(9) NULL
mbr_servicing_carrier: char(1) NOT NULL
mbr_contact_name: varchar(64) NULL
mbr_address1: varchar(30) NOT NULL
mbr_address2: varchar(30) NULL
mbr_address3: varchar(30) NULL
mbr_city: varchar(30) NOT NULL
mbr_state: char(2) NOT NULL
mbr_zip: varchar(9) NOT NULL
mbr_contact_phone: char(10) NULL
mbr_contact_extension: varchar(8) NULL
mbr_contact_fax: char(10) NULL
mbr_contact_email: varchar(30) NULL
mbr_admin_contact_name: varchar(64) NULL
mbr_admin_address1:varchar(30) NULL
mbr_admin_address2:varchar(30) NULL
mbr_admin_address3: varchar(30) NULL
mbr_admin_city: varchar(30) NULL
mbr_admin_state: char(2) NULL
mbr_admin_zip: varchar(9) NULL
mbr_admin_contact_phone: char(10) NULL
mbr_admin_contact_extension: varchar(8) NULL
mbr_admin_contact_fax: char(10) NULL
mbr_admin_contact_email: varchar(30) NULL
mbr_added_date: datetime NOT NULL
mbr_status: varchar(8) NOT NULL
mbr_status_date: datetime NOT NULL
mbr_insolv_code: char(3) NULL
mbr_insolv_date: datetime NULL (IE4.1)
mbr_insolv_closed_date: datetime NULL
mbr_insolv_reactivate_date: datetime NULL
mbr_insolv_reporting_freq: varchar(8) NULL
mbr_mod_user: varchar(8) NULL
mbr_mod_date: datetime NULL
mbr_add_user: varchar(8) NULL
mbr_add_date: datetime NULL
612
member_group
mgr_nauc_code char(4) NOT NULL
mgr_comp_name: varchar(50) NOT NULL
mgr_comp_address1: varchar(30) NOT NULL
mgr_comp_address2: varchar(30) NULL
mgr_comp_address3: varchar(30) NULL
mgr_comp_city: varchar(30) NOT NULL
mgr_comp_state: char(2) NOT NULL
mgr_comp_zip: varchar(9) NOT NULL
mgr_cntc_name: varchar(64) NOT NULL
mgr_cntc_phone: char(10) NULL
mgr_cntc_extension: varchar(8) NULL
mgr_cntc_fax: char(10) NULL
mgr_cntc_email: varchar(30) NULL
mgr_mod_user: varchar(8) NULL
mgr_mod_date: datetime NULL
mgr_add_user: varchar(8) NULL
mgr_add_date: datetime NULL
614
member_financials
mbr_nauc_code: char(4) NOT NULL (FK) (IE1.1)
mf_type. varchar(8) NOT NULL
mf_premium_year: int NOT NULL
mf_value: money NOT NULL
mf_mod_user: varchar(8) NULL
mf_mod_date: datetime NULL
mf_add_user: varchar(8) NULL
mf_add_date: datetime NULL
616
uds_coverage_code
uds_code: char(6) NOT NULL
uds_description: varchar(80) NOT NULL
lio_number: varchar(6) NULL (FK) (IE1.1)
uds_mod_user: varchar(8) NULL
uds_mod_date: datetime NULL
uds_add_user: varchar(8) NULL
uds_add_date: datetime NULL
618
line_of_insurance
lio_number: varchar(6) NOT NULL
lio_description: varchar(80) NOT NULL
lio_status: char(1) NULL
lio_status_date: datetime NULL
lio_mod_user: varchar(8) NULL
lio_mod_date: datetime NULL
lio_add_user: varchar(8) NULL
lio_add_date: datetime NULL
620
(10)
(11)
equivalency_uds_coverage_code
sf_code: char(3) NOT NULL (FK) (IE1.1)
mbr_nalc_code: char(5) NOT NULL (FK) (IE1.2)
lio_number. varchar(6) NOT NULL (FK) (IE1.3)
uds_code. char(6) NOT NULL (FK) (IE2.1)
eud_mod_user. varchar(8) NULL
eud_mod_date: datetime NULL
eud_add_user: varchar(8) NULL
eud_add_date: datetime NULL
622
equivalency_line_of_insurance
sf_code: char(3) NOT NULL (FK) (IE1.1)
mbr_nalc_code: char(5) NOT NULL (FK) (IE1.2)
lio_number: varchar(6) NOT NULL (FK) (IE2.1)
elo_mod_user: varchar(8) NULL
elo_mod_date: datetime NULL
elo_add_user: varchar(8) NULL
elo_add_date: datetime NULL
624
(14)
(15)
(16)
(22)
(21)
(20)
(19)
sale
mbr_nalc_code: char(5) NOT NULL (FK)
spl_year: int NOT NULL
spl_mem_name: varchar(50) NOT NULL
spl_mem_address1: varchar(30) NOT NULL
spl_mem_address2: varchar(30) NULL
spl_mem_address3: varchar(30) NULL
spl_mem_city: varchar(30) NOT NULL
spl_mem_state: char(2) NOT NULL
spl_mem_zip: varchar(9) NOT NULL
spl_mem_cntc_name: varchar(64) NULL
spl_mem_cntc_phone: char(10) NULL
spl_mem_cntc_extension: varchar(8) NULL
spl_mem_cntc_fax: char(10) NULL
spl_mem_cntc_email: varchar(30) NULL
spl_mod_user: varchar(8) NULL
spl_mod_date: datetime NULL
spl_add_user: varchar(8) NULL
spl_add_date: datetime NULL
626
assessment_transaction
al_id: Int IDENTITY
sf_code: char(3) NOT NULL (FK) (IE2.1)
mbr_nalc_code: char(5) NOT NULL (FK) (IE2.2)
la_loader: char(2) NOT NULL (FK) (IE2.3)
al_premium_year: int NOT NULL
al_kind: varchar(8) NOT NULL
al_assess_date: datetime NOT NULL
al_allocation_group: Int NULL (FK) (IE1.1)
al_type: varchar(8) NOT NULL
al_assess_amount: money NOT NULL
al_deferred_amount: money NULL
al_reversal: char(1) NOT NULL
al_from_deferred: char(1) NOT NULL
al_adjustment_date: datetime NULL
al_mod_user: varchar(8) NULL
al_mod_date: datetime NULL
al_add_user: varchar(8) NULL
al_add_date: datetime NULL
al_reverse_al_id: int NULL
al_loc_notification: char(1) NULL
628
claimant_inquiry
cml_id: Int IDENTITY
clm_id: int NOT NULL (FK) (IE1.1)
cml_number: char(5) NOT NULL (FK) (IE1.2)
cml_body_part: varchar(8) NOT NULL
cml_type: varchar(8) NOT NULL
cml_mod_user: varchar(8) NULL
cml_mod_date: datetime NULL
cml_add_user: varchar(8) NULL
cml_add_date: datetime NULL
630
(1)
(2)
(3)
(4)
(5)
(6)
(7)
(8)
(9)
(10)
(11)
(12)
(13)
(14)
(15)
(16)
(17)
(18)
(19)
(20)
(21)
(22)

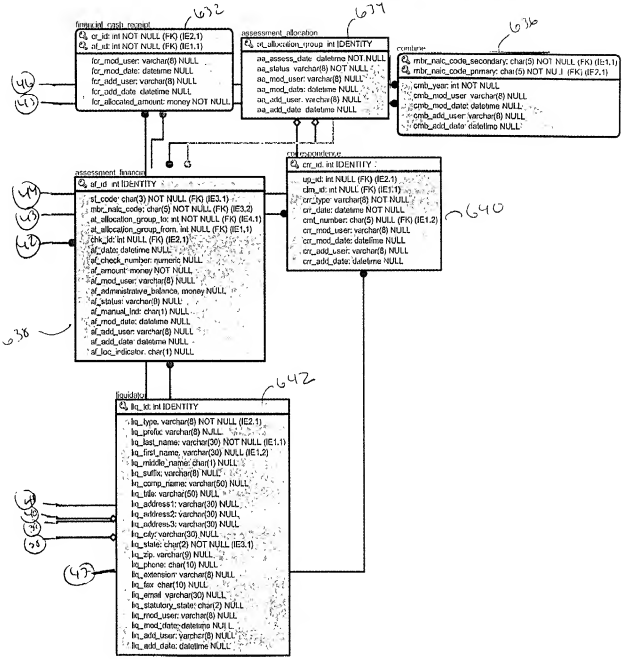
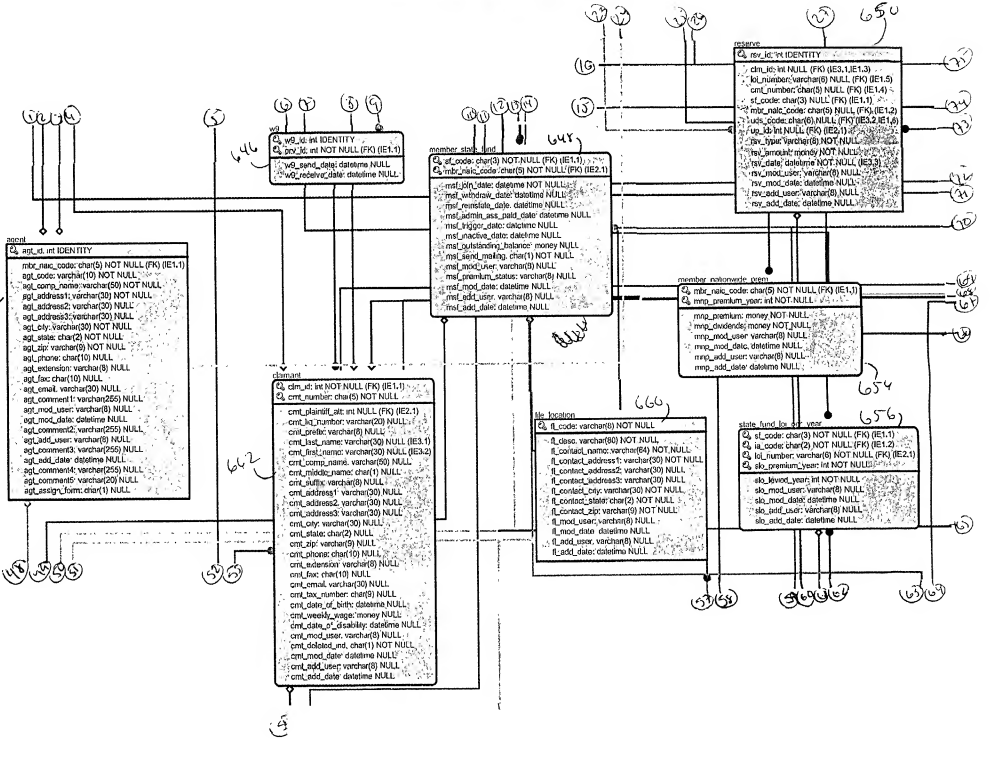

fmsv_int_cash_receipt
cr_id: int NOT NULL (FK) (IE2.1)
af_id: int NOT NULL (FK) (IE1.1)
fcr_mod_user: varchar(8) NULL
fcr_mod_date: datetime NULL
fcr_add_user: varchar(8) NULL
fcr_add_date: datetime NULL
fcr_allocated_amount: money NOT NULL
assessment_allocation
al_allocation_group_id: int IDENTITY
aa_assess_date: datetime NOT NULL
aa_status: varchar(8) NOT NULL
aa_mod_user: varchar(8) NULL
aa_mod_date: datetime NULL
aa_add_user: varchar(8) NULL
aa_add_date: datetime NULL
combine
mbr_naic_code_secondary: char(5) NOT NULL (FK) (IE1.1)
mbr_naic_code_primary: char(5) NOT NULL (FK) (IE2.1)
cmb_year: int NOT NULL
cmb_mod_user: varchar(8) NULL
cmb_mod_date: datetime NULL
cmb_add_user: varchar(8) NULL
cmb_add_date: datetime NULL
assessment_financial
af_id: int IDENTITY
sl_code: char(3) NOT NULL (FK) (IE3.1)
mbr_naic_code: char(5) NOT NULL (FK) (IE3.2)
al_allocation_group_to: int NOT NULL (FK) (IE4.1)
al_allocation_group_from: int NULL (FK) (IE1.1)
chk_id: int NULL (FK) (IE2.1)
af_date: datetime NULL
af_check_number: numeric NULL
af_amount: money NOT NULL
af_mod_user: varchar(8) NULL
af_administrative_balance: money NULL
af_status: varchar(8) NULL
af_manual_ind: char(1) NULL
af_mod_date: datetime NULL
af_add_user: varchar(8) NULL
af_add_date: datetime NULL
af_loc_indicator: char(1) NULL
cash_receipt_group
crg_id: int IDENTITY
ug_id: int NULL (FK) (IE2.1)
cbm_id: int NULL (FK) (IE1.1)
crg_type: varchar(8) NOT NULL
crg_date: datetime NOT NULL
cmt_number: char(5) NULL (FK) (IE1.2)
crg_mod_user: varchar(8) NULL
crg_mod_date: datetime NULL
crg_add_user: varchar(8) NULL
crg_add_date: datetime NULL
liquidator
liq_id: int IDENTITY
liq_type: varchar(8) NOT NULL (IE2.1)
liq_prefix: varchar(8) NULL
liq_last_name: varchar(30) NOT NULL (IE1.1)
liq_first_name: varchar(30) NULL (IE1.2)
liq_middle_name: char(1) NULL
liq_suffix: varchar(8) NULL
liq_comp_name: varchar(50) NULL
liq_title: varchar(50) NULL
liq_address1: varchar(30) NULL
liq_address2: varchar(30) NULL
liq_address3: varchar(30) NULL
liq_city: varchar(30) NULL
liq_state: char(2) NOT NULL (IE3.1)
liq_zip: varchar(9) NULL
liq_phone: char(10) NULL
liq_extension: varchar(8) NULL
liq_fax: char(10) NULL
liq_email: varchar(30) NULL
liq_statutory_state: char(2) NULL
liq_mod_user: varchar(8) NULL
liq_mod_date: datetime NULL
liq_add_user: varchar(8) NULL
liq_add_date: datetime NULL
632
637
636
640
638
642
46
47
48
49
50
51
52
53

FIGURE 28-E2 (a)



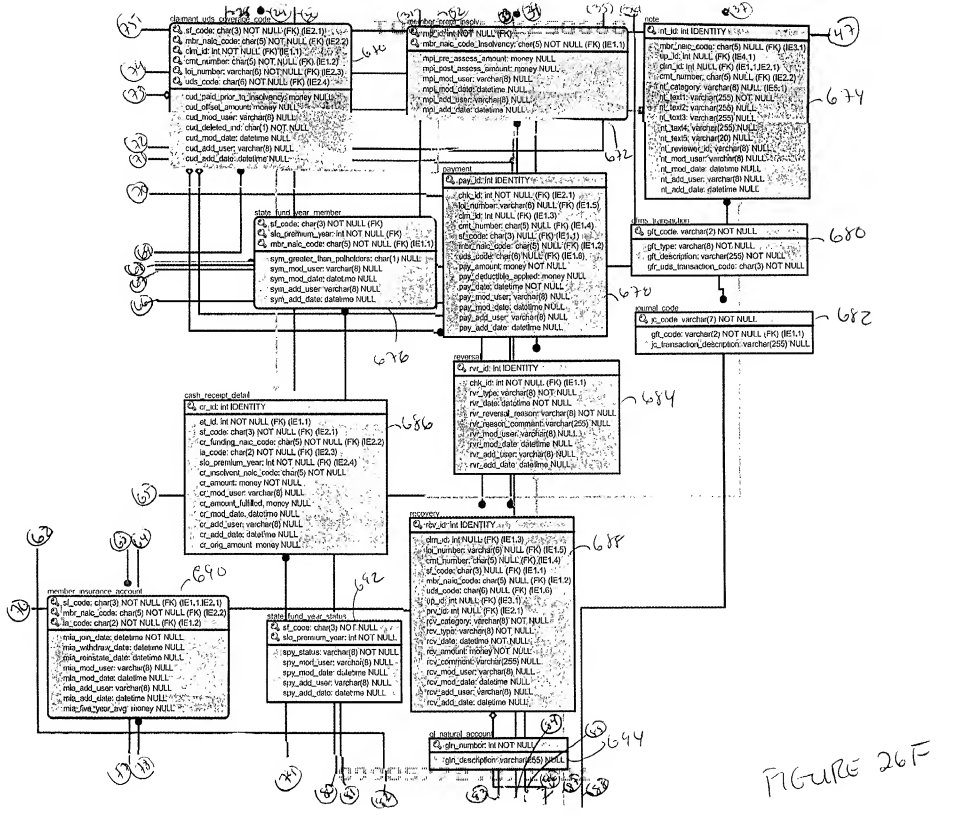
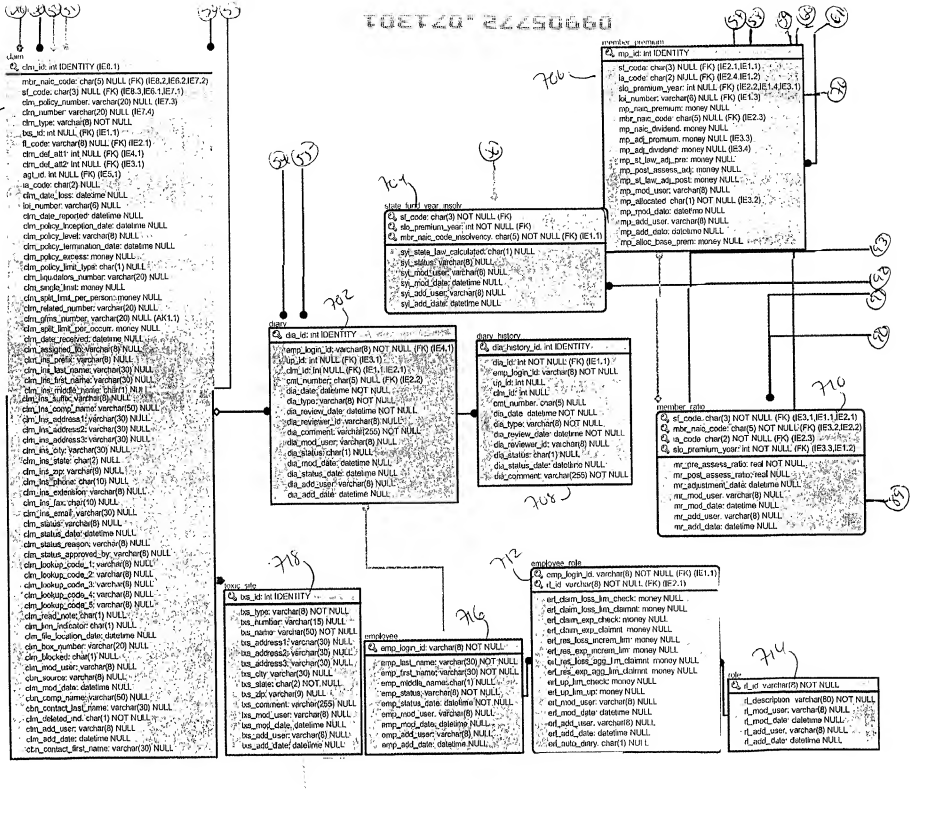

claimant_uds_claim_code
sf_code: char(3) NOT NULL-(FK) (IE2.1)
mbr_naic_code: char(5) NOT NULL (FK) (IE2.2)
clm_id: int NOT NULL (PK)(IE1.1)
cmt_number: char(5) NOT NULL (FK) (IE1.2)
loi_number: varchar(6) NOT NULL (FK) (IE2.3)
uds_code: char(6) NOT NULL (FK) (IE2.4)
cud_paid_prior_to_insolvency: money NULL
cud_offset_amount: money NULL
cud_mod_user: varchar(8) NULL
cud_deleted_ind: char(1) NOT NULL
cud_mod_date: datetime NULL
cud_add_user: varchar(8) NULL
cud_add_date: datetime NULL
mpl_recovery_receipt_deploy
mpl_cd_key: NOT NULL (PK)
mbr_naic_code_insolvency: char(5) NOT NULL (FK) (IE1.1)
mpl_rec_assess_amount: money NULL
mpl_post_assess_amount: money NULL
mpl_mod_user: varchar(8) NULL
mpl_mod_date: datetime NULL
mpl_add_user: varchar(8) NULL
mpl_add_date: datetime NULL
note
nt_id: int IDENTITY
mbr_naic_code: char(5) NULL (FK) (IE3.1)
vp_id: int NULL (FK) (IE4.1)
clm_id: int NULL (FK) (IE1.1,IE2.1)
cmt_number: char(5) NULL (FK) (IE2.2)
nt_category: varchar(8) NULL (IE5.1)
nt_text1: varchar(255) NOT NULL
nt_text2: varchar(255) NULL
nt_text3: varchar(255) NULL
nt_text4: varchar(255) NULL
nt_text5: varchar(20) NULL
nt_reviewer_id: varchar(8) NULL
nt_mod_user: varchar(8) NULL
nt_mod_date: datetime NULL
nt_add_user: varchar(8) NULL
nt_add_date: datetime NULL
payment
pay_id: int IDENTITY
chk_id: int NOT NULL (FK) (IE2.1)
chk_number: varchar(6) NULL (FK) (IE1.5)
clm_id: int NULL (FK) (IE1.3)
cmt_number: char(5) NULL (FK) (IE1.4)
sf_code: char(3) NULL (FK) (IE1.1)
mbr_naic_code: char(5) NULL (FK) (IE1.2)
uds_code: char(6) NULL (FK) (IE1.6)
pay_amount: money NOT NULL
pay_deductible_applied: money NULL
pay_date: datetime NOT NULL
pay_mod_user: varchar(8) NULL
pay_mod_date: datetime NULL
pay_add_user: varchar(8) NULL
pay_add_date: datetime NULL
state_fund_year_member
sf_code: char(3) NOT NULL (FK)
slo_premium_year: int NOT NULL (FK)
mbr_naic_code: char(5) NOT NULL (FK) (IE1.1)
sym_greater_than_pdholdons: char(1) NULL
sym_mod_user: varchar(8) NULL
sym_mod_date: datetime NULL
sym_add_user: varchar(8) NULL
sym_add_date: datetime NULL
dim_transaction
gft_code: varchar(2) NOT NULL
gft_type: varchar(8) NOT NULL
gft_description: varchar(255) NOT NULL
tfr_uds_transaction_code: char(3) NOT NULL
journal_code
jc_code: varchar(7) NOT NULL
gft_code: varchar(2) NOT NULL (FK) (IE1.1)
jc_transaction_description: varchar(255) NULL
reversal
rvr_id: int IDENTITY
chk_id: int NOT NULL (FK) (IE1.1)
rvr_type: varchar(8) NOT NULL
rvr_date: datetime NOT NULL
rvr_reversal_reason: varchar(8) NOT NULL
rvr_reason_comment: varchar(255) NULL
rvr_mod_user: varchar(8) NULL
rvr_mod_date: datetime NULL
rvr_add_user: varchar(8) NULL
rvr_add_date: datetime NULL
cash_receipt_detail
cr_id: int IDENTITY
st_id: int NOT NULL (FK) (IE1.1)
sf_code: char(3) NOT NULL (FK) (IE2.1)
cr_funding_nac_code: char(5) NOT NULL (FK) (IE2.2)
ia_code: char(2) NOT NULL (FK) (IE2.3)
slo_premium_year: int NOT NULL (FK) (IE2.4)
cr_insolvent_nolc_code: char(5) NOT NULL
cr_amount: money NOT NULL
cr_mod_user: varchar(8) NULL
cr_amount_fulfilled: money NULL
cr_mod_date: datetime NULL
cr_add_user: varchar(8) NULL
cr_add_date: datetime NULL
cr_orig_amount: money NULL
recovery
rcv_id: int IDENTITY
clm_id: int NULL (FK) (IE1.3)
loi_number: varchar(6) NULL (FK) (IE1.5)
cmt_number: char(5) NULL (FK) (IE1.4)
sf_code: char(3) NULL (FK) (IE1.1)
mbr_naic_code: char(5) NULL (FK) (IE1.2)
uds_code: char(6) NULL (FK) (IE1.6)
vp_id: int NULL (FK) (IE3.1)
prv_id: int NULL (FK) (IE2.1)
rcv_category: varchar(8) NOT NULL
rcv_type: varchar(8) NOT NULL
rcv_date: datetime NOT NULL
rcv_amount: money NOT NULL
rcv_comment: varchar(255) NULL
rcv_mod_user: varchar(8) NULL
rcv_mod_date: datetime NULL
rcv_add_user: varchar(8) NULL
rcv_add_date: datetime NULL
member_insurance_account
sf_code: char(3) NOT NULL (FK) (IE1.1,IE2.1)
mbr_naic_code: char(5) NOT NULL (FK) (IE2.2)
ia_code: char(2) NOT NULL (FK) (IE1.2)
mia_join_date: datetime NOT NULL
mia_withdraw_date: datetime NULL
mia_reinstate_date: datetime NULL
mia_mod_user: varchar(8) NULL
mia_mod_date: datetime NULL
mia_add_user: varchar(8) NULL
mia_add_date: datetime NULL
mia_five_year_avg: money NULL
state_fund_year_status
sf_code: char(3) NOT NULL
slo_premium_year: int NOT NULL
spy_status: varchar(8) NOT NULL
spy_mod_user: varchar(8) NULL
spy_mod_date: datetime NULL
spy_add_user: varchar(8) NULL
spy_add_date: datetime NULL
gl_natural_account
gln_number: int NOT NULL
gln_description: varchar(255) NULL
676
682
684
686
690
692
694
670
672
674
680

FIGURE 26F


claim
clm_id: int IDENTITY (IE6.1)
mbr_naic_code: char(5) NULL (FK) (IE8.2,IE6.2,IE7.2)
sf_code: char(3) NULL (FK) (IE8.3,IE6.1,IE7.1)
clm_policy_number: varchar(20) NULL (IE7.3)
clm_number: varchar(20) NULL (IE7.4)
clm_type: varchar(8) NOT NULL
bs_id: int NULL (FK) (IE1.1)
fl_code: varchar(8) NULL (FK) (IE2.1)
clm_def_att1: int NULL (FK) (IE4.1)
clm_def_att2: int NULL (FK) (IE5.1)
agt_id: int NULL (FK) (IE5.1)
ia_code: char(2) NULL
clm_date_loss: datetime NULL
loi_number: varchar(6) NULL
clm_date_reported: datetime NULL
clm_policy_inception_date: datetime NULL
clm_policy_level: varchar(8) NULL
clm_policy_termination_date: datetime NULL
clm_policy_excess: money NULL
clm_policy_limit_type: char(1) NULL
clm_liquidators_number: varchar(20) NULL
clm_single_limit: money NULL
clm_split_limit_per_person: money NULL
clm_related_number: varchar(20) NULL
clm_prime_number: varchar(20) NULL (AK1.1)
clm_split_limit_per_occurr: money NULL
clm_date_received: datetime NULL
clm_assigned_to: varchar(8) NULL
clm_ins_prefix: varchar(8) NULL
clm_ins_last_name: varchar(30) NULL
clm_ins_first_name: varchar(30) NULL
clm_ins_middle_name: char(1) NULL
clm_ins_suffix: varchar(8) NULL
clm_ins_comp_name: varchar(50) NULL
clm_ins_address1: varchar(30) NULL
clm_ins_address2: varchar(30) NULL
clm_ins_address3: varchar(30) NULL
clm_ins_city: varchar(30) NULL
clm_ins_state: char(2) NULL
clm_ins_zip: varchar(9) NULL
clm_ins_phone: char(10) NULL
clm_ins_extension: varchar(8) NULL
clm_ins_fax: char(10) NULL
clm_ins_email: varchar(30) NULL
clm_status: varchar(8) NULL
clm_status_date: datetime NULL
clm_status_reason: varchar(8) NULL
clm_status_approved_by: varchar(8) NULL
clm_lookup_code_1: varchar(8) NULL
clm_lookup_code_2: varchar(8) NULL
clm_lookup_code_3: varchar(8) NULL
clm_lookup_code_4: varchar(8) NULL
clm_lookup_code_5: varchar(8) NULL
clm_read_note_flag: char(1) NULL
clm_lmi_indicator: char(1) NULL
clm_file_location_date: datetime NULL
clm_box_number: varchar(20) NULL
clm_blocked: char(1) NULL
clm_mod_user: varchar(8) NULL
clm_source: varchar(8) NULL
clm_mod_date: datetime NULL
clm_comp_name: varchar(50) NULL
cln_contact_last_name: varchar(30) NULL
clm_deleted_ind: char(1) NOT NULL
clm_add_user: varchar(8) NULL
clm_add_date: datetime NULL
ctn_contact_first_name: varchar(30) NULL
state_fund_year_mstr
sf_code: char(3) NOT NULL (FK)
sfy_premium_year: int NOT NULL (FK)
mbr_naic_code_insurercy: char(5) NOT NULL (FK) (IE1.1)
syf_state_law_calculated: char(1) NULL
sfy_status: varchar(8) NULL
sfy_mod_user: varchar(8) NULL
sfy_mod_date: datetime NULL
sfy_add_user: varchar(8) NULL
sfy_add_date: datetime NULL
diary
dia_id: int IDENTITY
emp_login_id: varchar(8) NOT NULL (FK) (IE4.1)
un_id: int NULL (FK) (IE3.1)
clm_id: int NULL (FK) (IE1.1,IE2.1)
cml_number: char(5) NULL (FK) (IE2.2)
dia_date: datetime NOT NULL
dia_type: varchar(8) NOT NULL
dia_review_date: datetime NOT NULL
dia_reviewer_id: varchar(8) NULL
dia_comment: varchar(255) NOT NULL
dia_mod_user: varchar(8) NULL
dia_status: char(1) NULL
dia_mod_date: datetime NULL
dia_status_date: datetime NULL
dia_add_user: varchar(8) NULL
dia_add_date: datetime NULL
diary_history
dia_history_id: int IDENTITY
dia_id: int NOT NULL (FK) (IE1.1)
emp_login_id: varchar(8) NOT NULL
un_id: int NULL
clm_id: int NULL
cml_number: char(5) NULL
dia_date: datetime NOT NULL
dia_type: varchar(8) NOT NULL
dia_review_date: datetime NOT NULL
dia_reviewer_id: varchar(8) NULL
dia_status: char(1) NULL
dia_status_date: datetime NULL
dia_comment: varchar(255) NOT NULL
premium_premium
mp_id: int IDENTITY
sf_code: char(3) NULL (FK) (IE2.1,IE1.1)
ia_code: char(2) NULL (FK) (IE2.4,IE1.2)
slo_premium_year: int NULL (FK) (IE2.2,IE1.4,IE3.1)
loi_number: varchar(6) NULL (FK) (IE1.3)
mp_naic_premium: money NULL
mbr_naic_code: char(5) NULL (FK) (IE2.3)
mp_naic_dividend: money NULL
mp_sd_premium: money NULL (IE3.3)
mp_sd_dividend: money NULL (IE3.4)
mp_st_law_adj_prm: money NULL
mp_post_assess_ist: money NULL
mp_st_law_adj_post: money NULL
mp_mod_user: varchar(8) NULL
mp_allocated: char(1) NOT NULL (IE3.2)
mp_mod_date: datetime NULL
mp_add_user: varchar(8) NULL
mp_add_date: datetime NULL
mp_alloc_base_prem: money NULL
member_ratio
sf_code: char(3) NOT NULL (FK) (IE3.1,IE1.1,IE2.1)
mbr_naic_code: char(5) NOT NULL (FK) (IE3.2,IE2.2)
ia_code: char(2) NOT NULL (FK) (IE2.3)
slo_premium_year: int NOT NULL (FK) (IE3.3,IE1.2)
mr_pre_assess_ratio: real NOT NULL
mr_post_assess_ratio: real NULL
mr_adjustment_date: datetime NULL
mr_mod_user: varchar(8) NULL
mr_mod_date: datetime NULL
mr_add_user: varchar(8) NULL
mr_add_date: datetime NULL
toxic_site
bs_id: int IDENTITY
bs_type: varchar(8) NOT NULL
bs_number: varchar(15) NULL
bs_name: varchar(50) NOT NULL
bs_address1: varchar(30) NULL
bs_address2: varchar(30) NULL
bs_address3: varchar(30) NULL
bs_city: varchar(30) NULL
bs_state: char(2) NOT NULL
bs_zip: varchar(9) NULL
bs_comment: varchar(255) NULL
bs_mod_user: varchar(8) NULL
bs_mod_date: datetime NULL
bs_add_user: varchar(8) NULL
bs_add_date: datetime NULL
employee
emp_login_id: varchar(8) NOT NULL
emp_last_name: varchar(30) NOT NULL
emp_first_name: varchar(30) NOT NULL
emp_middle_name: char(1) NULL
emp_status: varchar(8) NOT NULL
emp_status_date: datetime NOT NULL
emp_mod_user: varchar(8) NULL
emp_mod_date: datetime NULL
emp_add_user: varchar(8) NULL
emp_add_date: datetime NULL
employee_role
emp_login_id: varchar(8) NOT NULL (FK) (IE1.1)
rl_id: varchar(8) NOT NULL (FK) (IE2.1)
erl_claim_loss_lim_check: money NULL
erl_claim_loss_lim_claimnt: money NULL
erl_claim_exp_check: money NULL
erl_claim_exp_claimnt: money NULL
erl_res_loss_increm_lim: money NULL
erl_res_exp_increm_lim: money NULL
erl_res_loss_agg_lim_claimnt: money NULL
erl_res_exp_agg_lim_claimnt: money NULL
erl_up_lim_check: money NULL
erl_up_lim_up: money NULL
erl_mod_user: varchar(8) NULL
erl_mod_date: datetime NULL
erl_add_user: varchar(8) NULL
erl_add_date: datetime NULL
erl_auto_diary: char(1) NULL
role
rl_id: varchar(8) NOT NULL
rl_description: varchar(80) NOT NULL
rl_mod_user: varchar(8) NULL
rl_mod_date: datetime NULL
rl_add_user: varchar(8) NULL
rl_add_date: datetime NULL

insurance_agent
sl_agent_code: char(6) NOT NULL (PK) (IE1:1)
sl_agent_name: varchar(40) NOT NULL
sl_address1: varchar(30) NOT NULL
sl_address2: varchar(30) NULL
sl_address3: varchar(30) NULL
sl_pincode: char(6) NOT NULL
sl_phone1: char(12) NOT NULL
sl_phone2: char(12) NULL
sl_dob: varchar(8) NOT NULL
sl_doj: varchar(8) NOT NULL
sl_dol: varchar(8) NULL
sl_bank_name: varchar(30) NULL
sl_bank_address: varchar(30) NULL
sl_bank_acc_no: varchar(20) NULL
sl_pan_no: varchar(10) NULL
sl_nominee_name: varchar(30) NULL
sl_nominee_relationship: varchar(20) NULL
sl_nominee_dob: varchar(8) NULL
sl_agent_category: char(1) NULL
sl_agent_type: char(1) NULL
sl_commission_of_contribution: char(1) NULL
sl_agent_status: char(1) NULL
sl_activity_date_time: datetime NULL
sl_user_id: varchar(8) NULL
sl_agent_data_sep_limit: integer NULL
sl_nov_1st: datetime NULL
sl_dec_1st: datetime NULL
sl_jan_1st: datetime NULL
sl_feb_1st: datetime NULL
sl_mar_1st: datetime NULL
sl_apr_1st: datetime NULL
sl_may_1st: datetime NULL
sl_jun_1st: datetime NULL
sl_jul_1st: datetime NULL
sl_aug_1st: datetime NULL
sl_sep_1st: datetime NULL
sl_oct_1st: datetime NULL
sl_nov_1st: datetime NULL
sl_dec_1st: datetime NULL
sl_jan_1st: datetime NULL
sl_feb_1st: datetime NULL
sl_mar_1st: datetime NULL
sl_apr_1st: datetime NULL
sl_may_1st: datetime NULL
sl_jun_1st: datetime NULL
sl_jul_1st: datetime NULL
sl_aug_1st: datetime NULL
sl_sep_1st: datetime NULL
sl_oct

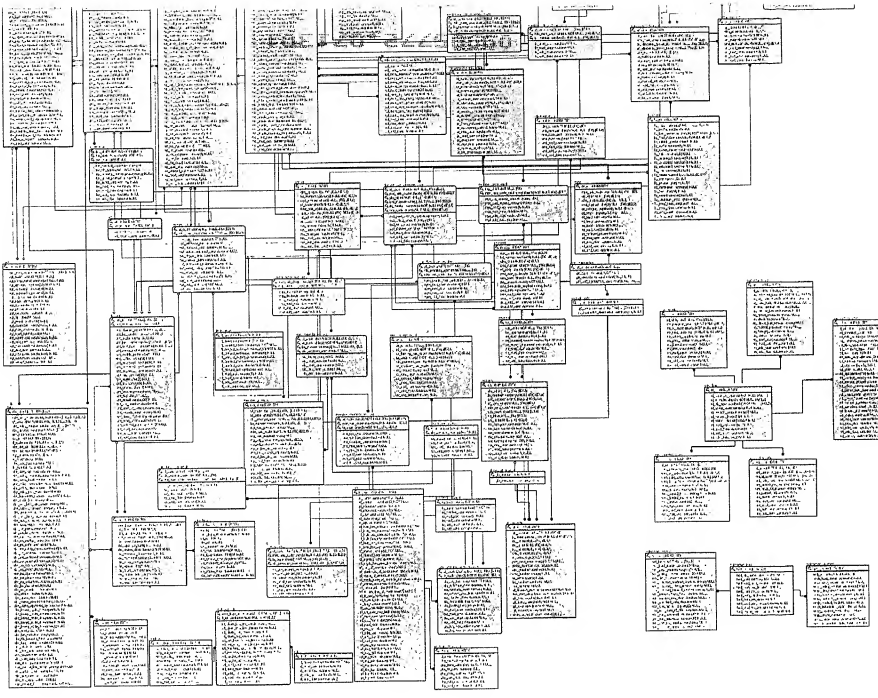


FIGURE 26 I

FIGURE 27

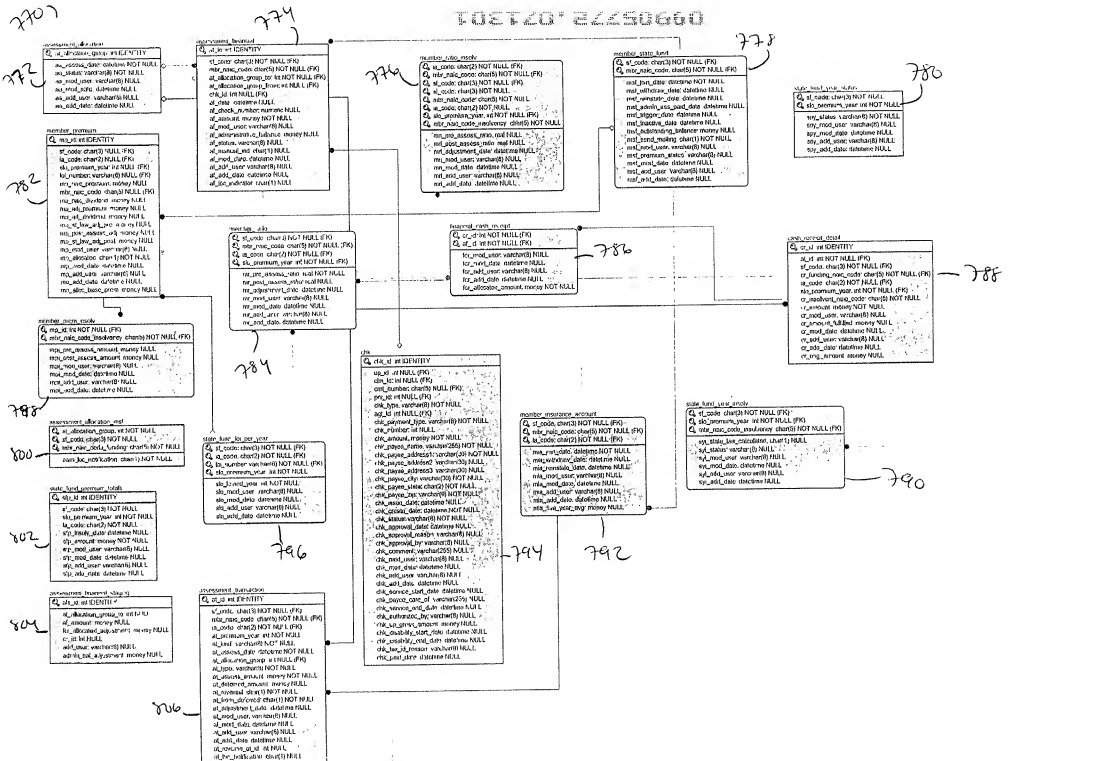

770
772
774
776
778
780
782
784
786
788
790
792
794
796
798
800
802
804
806

FIGURE 2A

Screens of Assessments

NAIC Data Load

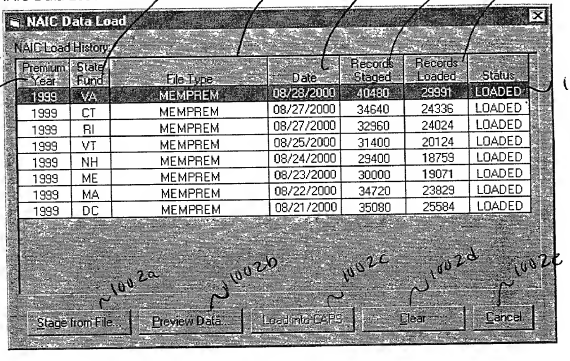


FIGURE 29

NAIC Data Preview - MEMPREM

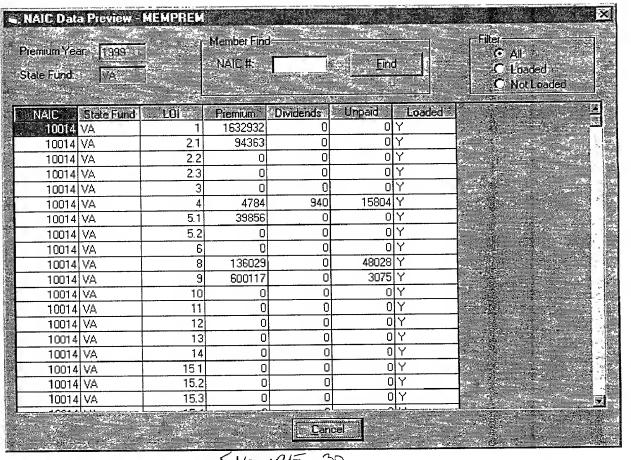


FIGURE 30

NAIC File Staging

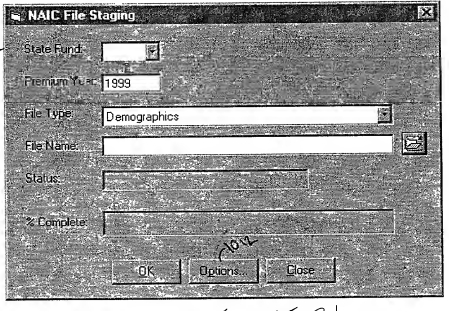
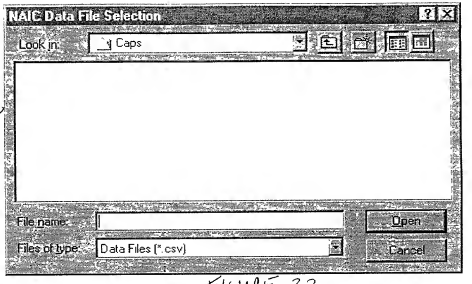


FIGURE 31

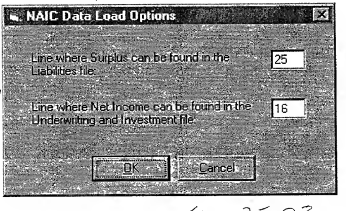
NAIC Data File Selection



1030

FIGURE 32

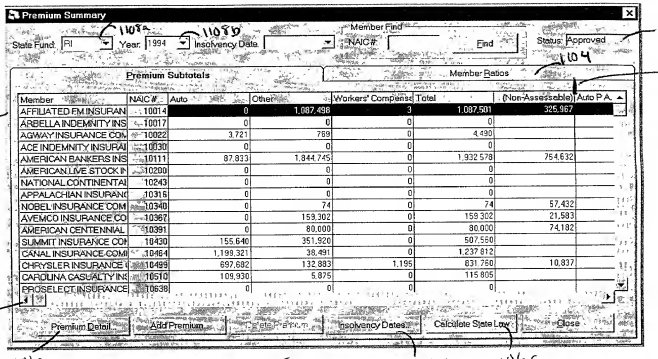
NAIC Data Load Options



1040

FIGURE 33

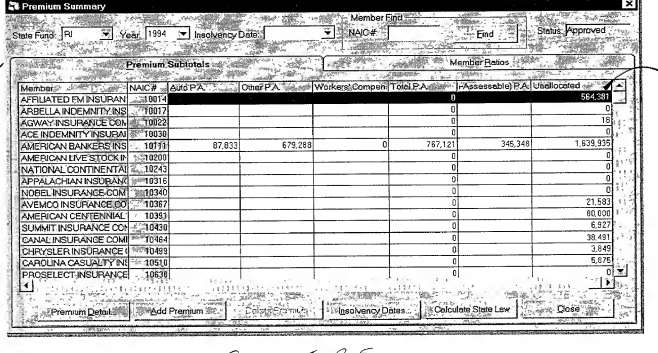
Premium Summary / Premium Subtotals Tab (scroll left)



1102a 1102 1102b 1102c

FIGURE 34

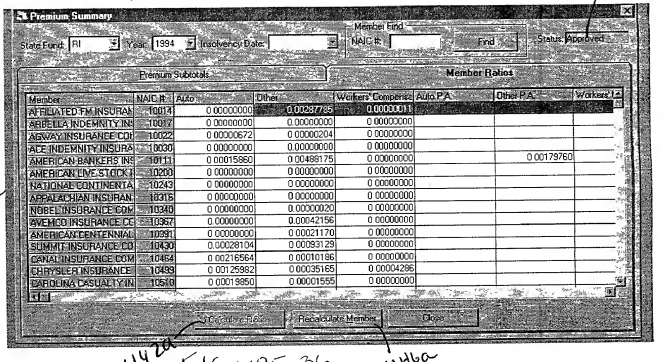
Premium Summary / Premium Subtotals Tab (scroll right)



1100b 1101

FIGURE 35

Member Ratios Tab (scroll left)



11409a

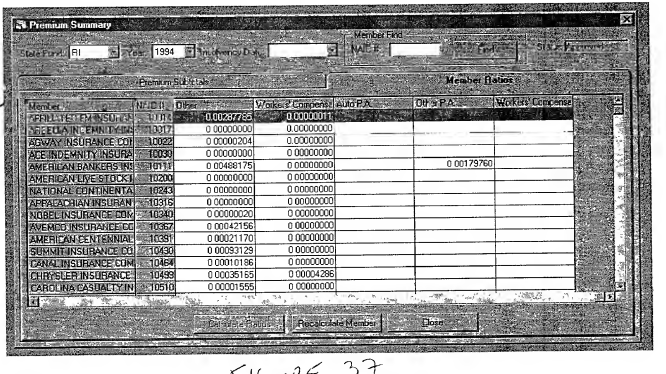
11442a

11420a

11460a

FIGURE 36

Premium Summary / Member Ratios Tab (scroll right)



11409

FIGURE 37

AMENDED SHEET

Premium Detail (scroll left)

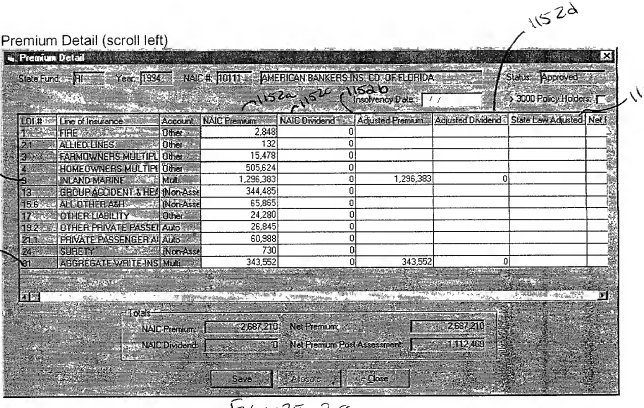


FIGURE 38

Premium Detail (scroll right)

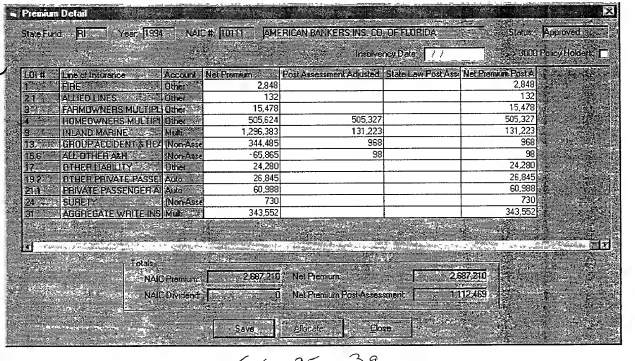


FIGURE 39

Aggregate write-in Allocation window

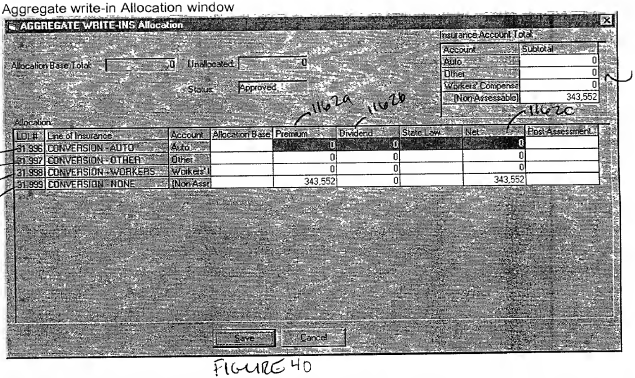


FIGURE 40

Insolvency Date Maintenance

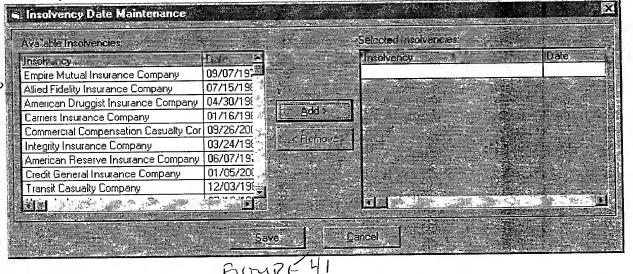


FIGURE 41

Gross Assessment

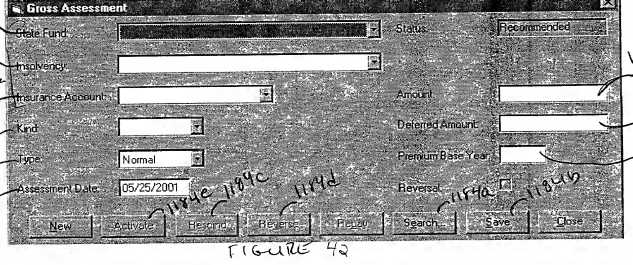
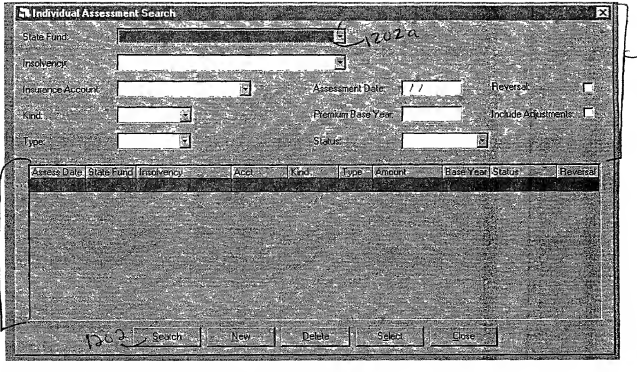


FIGURE 42

Individual Assessment Search FIGURE 43



Allocate / Approve Assessment

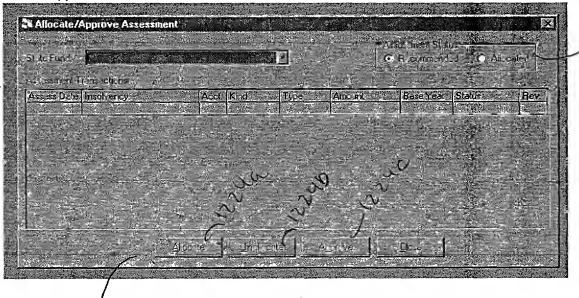
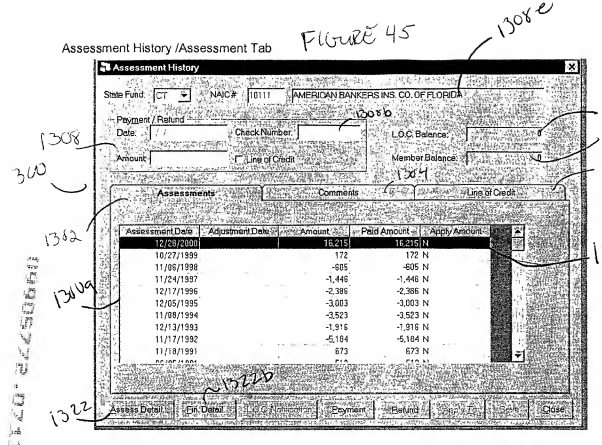


FIGURE 44

Assessment History /Assessment Tab

FIGURE 45



Assessment History / Comments Tab

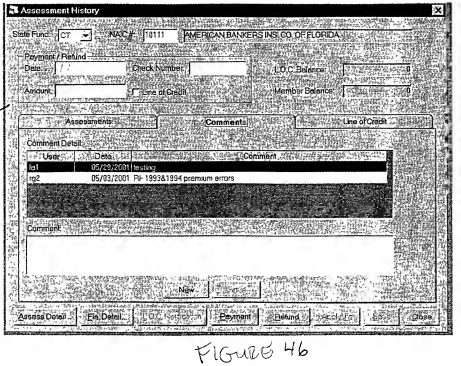


FIGURE 46

Assessment History / Line of Credit Tab

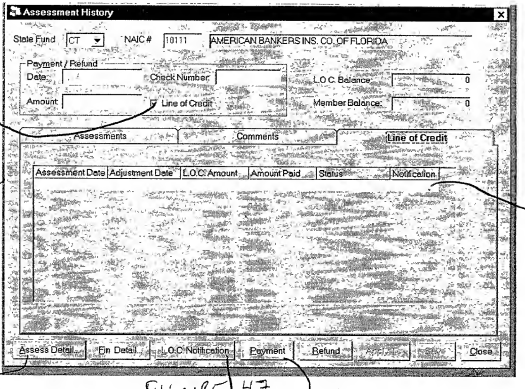


FIGURE 47

Assessment Detail

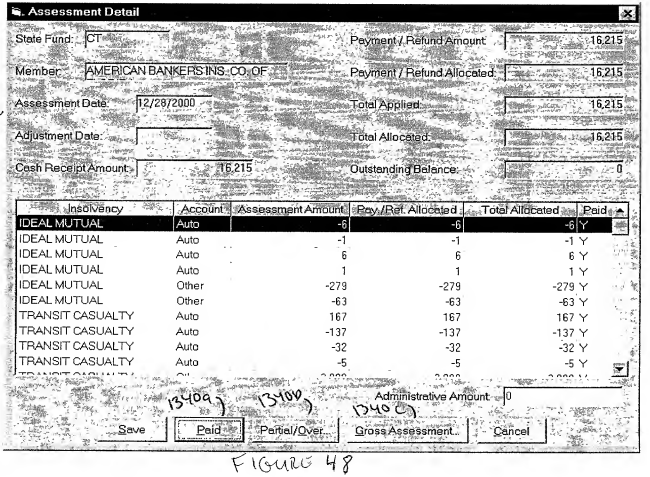
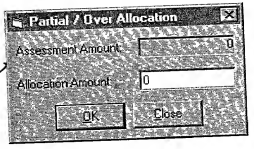


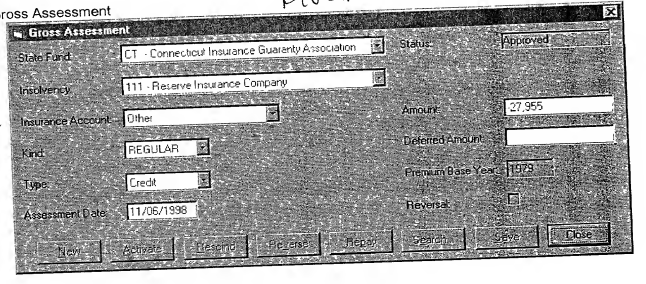
FIGURE 48

Partial / Over Allocation FIGURE 49



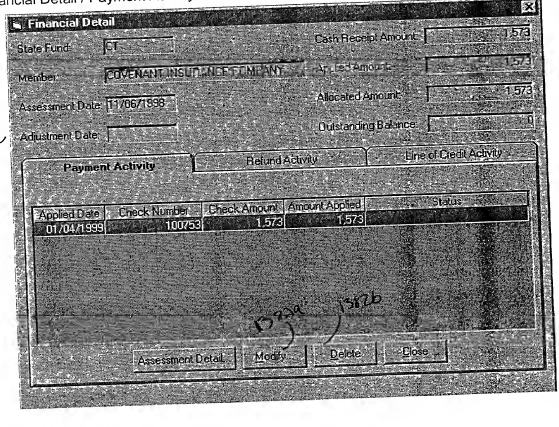
1350

Gross Assessment FIGURE 50



1360

Financial Detail / Payment Activity Tab FIGURE 51

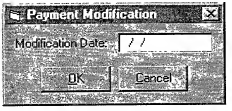


1380

1524

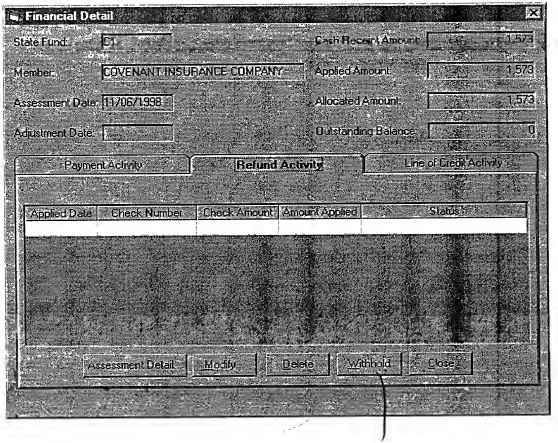
1526

Payment Modification FIGURE 52



1400

Financial Detail / Refund Activity Tab FIGURE 53



1410

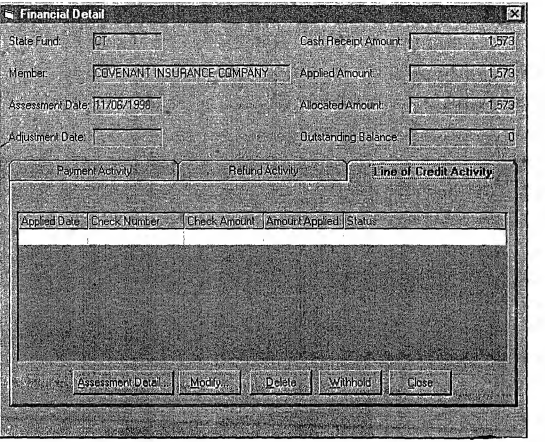
1412

DETAILED DESCRIPTION

Tue120°2225U660

Financial Detail / Line of Credit Activity Tab

FIGURE 54

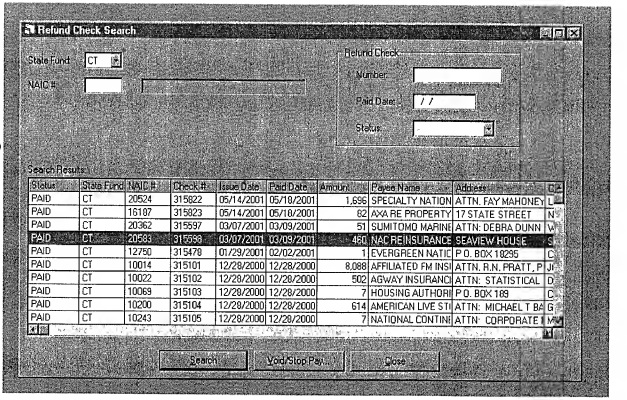


09905772 .07130I

Refund Check Search

FIGURE 55

1450



Status	State Fund	NAIC #	Check #	Issue Date	Paid Date	Amount	Payee Name	Address
PAID	CT	20524	315822	05/14/2001	05/18/2001	1,696	SPECIALTY NATION	ATTN: FAY MAHONEY
PAID	CT	16187	315823	05/14/2001	05/18/2001	62	AXA RE PROPERTY	17 STATE STREET
PAID	CT	20362	315597	03/07/2001	03/09/2001	51	SUMITOMO MARINE	ATTN: DEBRA DUNN
PAID	CT	20633	315598	03/07/2001	03/09/2001	460	NAC REINSURANCE	SEAVIEW HOUSE
PAID	CT	12750	315478	01/29/2001	02/02/2001	1	EVERGREEN NATIC	P O. BOX 10295
PAID	CT	10014	315101	12/28/2000	12/28/2000	8,088	AFFILIATED FM INSI	ATTN, R.N. PRATT, P
PAID	CT	10022	315102	12/28/2000	12/28/2000	502	AGWAY INSURANCI	ATTN: STATISTICAL
PAID	CT	10069	315103	12/28/2000	12/28/2000	7	HOUSING AUTHORI	P O. BOX 189
PAID	CT	10200	315104	12/28/2000	12/28/2000	614	AMERICAN LIVE STI	ATTN: MICHAEL T BA
PAID	CT	10243	315105	12/28/2000	12/28/2000	7	NATIONAL CONTINI	ATTN: CORPORATE

TOET20-22250660

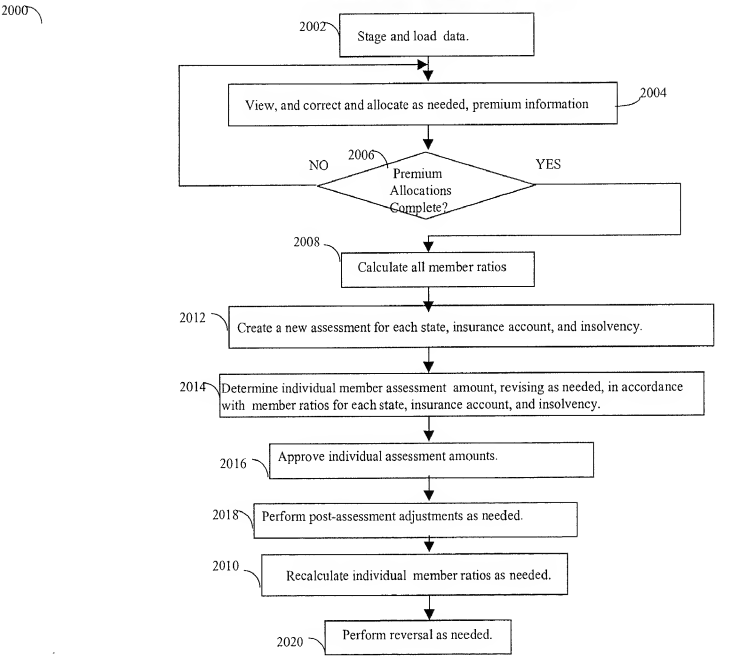


FIGURE 56

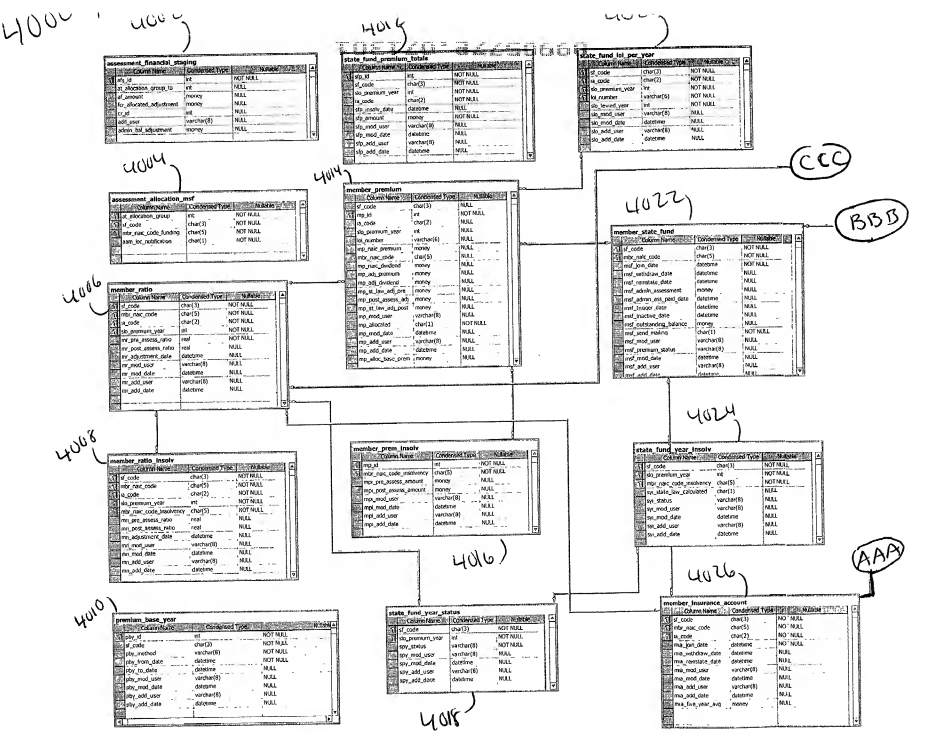

4000
4002
4004
4006
4008
4010
4012
4014
4016
4018
4020
4022
4024
4026
CCC
BBB
AAA
assessment_financial_staging
Column Name
Condensed Type
Nullable
state_fund_premium_totals
state_fund_ist_per_year
assessment_allocation_mst
member_premium
member_state_fund
member_ratio
member_ratio_insolv
member_prem_insolv
state_fund_year_insolv
premium_base_year
state_fund_year_status
member_insurance_account

FIGURE 5TA

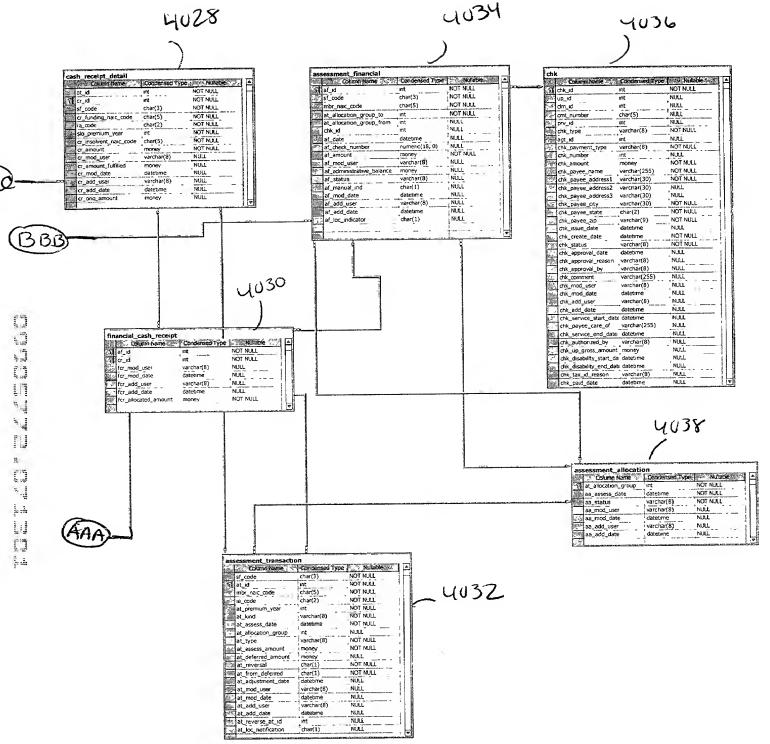

4028
4034
4036
cash_receipt_detail
assessment_financial
chk
CC
BBB
4030
financial_cash_receipt
4038
assessment_allocation
AAA
assessment_transaction
4032

FIGURE 57B

NAIC Tables

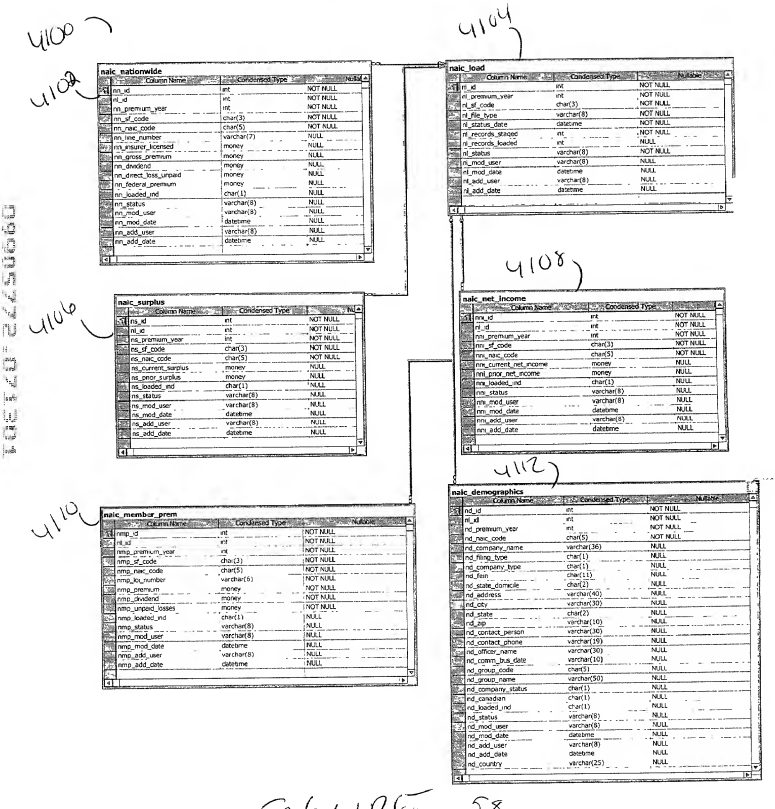
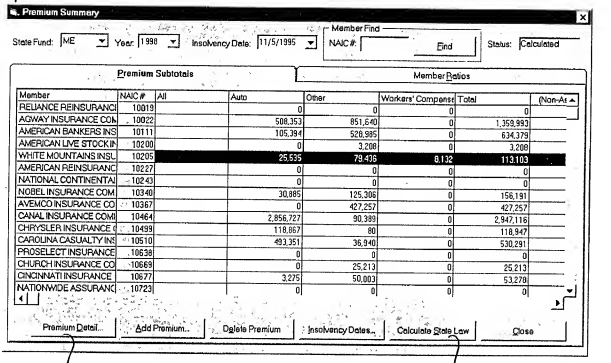


FIGURE 58

2500



2504

2502

FIGURE 59

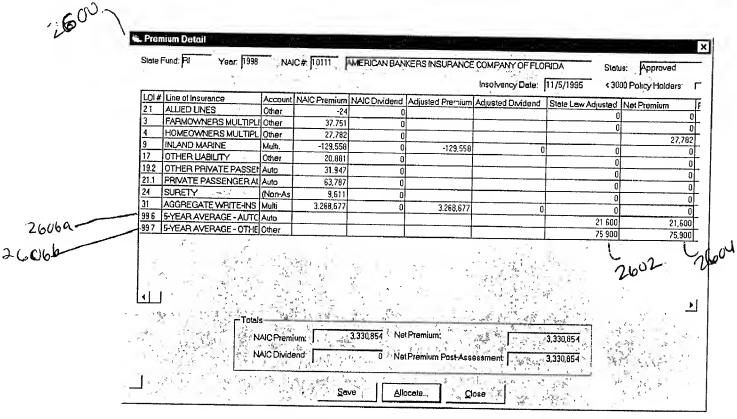

2600
Premium Detail
State Fund: FI
Year: 1998
NAIC #: 10111
AMERICAN BANKERS INSURANCE COMPANY OF FLORIDA
Status: Approved
Insolvency Date: 11/5/1995
< 3000 Policy Holders
LOI # | Line of Insurance | Account | NAIC Premium | NAIC Dividend | Adjusted Premium | Adjusted Dividend | State Law Adjusted | Net Premium | F
2.1 | ALLIED LINES | Other | -24 | 0 | | | 0 | 0
3 | FARMOWNERS MULTIPLI | Other | 37,751 | 0 | | | 0 | 0
4 | HOMEOWNERS MULTIPL | Other | 27,782 | 0 | | | | 27,782
9 | INLAND MARINE | Multi. | -129,558 | 0 | -129,558 | 0 | 0 | 0
17 | OTHER LIABILITY | Other | 20,881 | 0 | | | 0 | 0
19.2 | OTHER PRIVATE PASSEI | Auto | 31,947 | 0 | | | 0 | 0
21.1 | PRIVATE PASSENGER AI | Auto | 63,787 | 0 | | | 0 | 0
24 | SURETY | (Non-As | 9,611 | 0 | | | 0 | 0
31 | AGGREGATE WRITE-INS | Multi | 3,268,677 | 0 | 3,268,677 | 0 | 0 | 0
98.6 | 5-YEAR AVERAGE - AUTC | Auto | | | | | 21,600 | 21,600
98.7 | 5-YEAR AVERAGE - OTHE | Other | | | | | 75,900 | 75,900
2606a
2606b
2602
2604
Totals
NAIC Premium: 3,330,854
NAIC Dividend: 0
Net Premium: 3,330,854
Net Premium Post-Assessment: 3,330,854
Save
Allocate...
Close

FIGURE 60

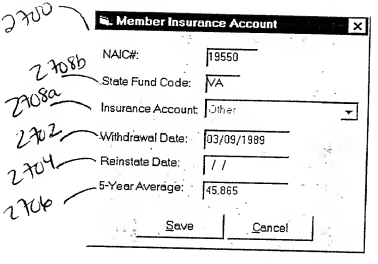


FIGURE 61

EXHIBIT 2250060